ADVERTISEMENT FOR CM/CONTRACTOR PREQUALIFICATION

Project No.: 908079

Subject to conditions prescribed by the University of California, Merced, responses to the University's prequalification documents for a CM/Contractor contract are sought from bidders for the following project:

Science & Engineering 1 & 2 Renovations

Project Number: 908079
UNIVERSITY OF CALIFORNIA, MERCED

PREQUALIFICATION OF PROSPECTIVE BIDDERS

The University has determined that bidders who submit proposals on this project must be prequalified. Prequalified bidders will be required to have the following California contractor's license:

General Contractor, B

GENERAL DESCRIPTION OF WORK

University of California Merced (UCM) is renovating Science & Engineering 1 & 2 Building(s) interior floors, Basement and $1^{st} - 3^{rd}$, which will include soft demo of existing walls, remove and reinstall ceiling grid and tile, all to create offices, conference rooms, computational labs, Anthropology Labs, graduate student seating area and all final finishes.

PREQUALIFICATION SCHEDULE

On June 20, 2019, a single set of Level 1 prequalification documents will be available at: http://rfq-rfp.ucmerced.edu/. Prequalification document is due July 16, 2019, and will be received at:

Documents will be received only at: UNIVERSITY OF CALIFORNIA MERCED

655 West 18th Street

Merced, California 95340

ATTN: Design & Construction Management

Fran Telechea

Documents will not be accepted after: 2:00 PM

July 16, 2019

No Level 1 prequalification documents will be accepted after 2:00 pm. However, the University reserves the right to request, receive, and evaluate supplemental information after the above time and date at its sole determination.

Level 2 interviews will be conducted after review of the Level 1 Prequalification Documents for those prospective bidders that pass Level 1.

BIDDING SCHEDULE

Following is the anticipated proposal schedule:

- 1. Bidding Documents available to the prequalified bidders Early August 2019.
- 2. Bids received and opened Early August 2019.

The exact dates, times, and location will be set forth in an Announcement To Prequalified Bidders.

The University reserves the right to reject any or all responses to Prequalification Questionnaires and any or all bids and to waive non-material irregularities in any response or proposal received.

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Bid Security in the amount of 10% of the Anticipated Contract Value shall accompany each bid. The surety issuing the bid shall be, on the bid deadline, an admitted surety insurer (as defined in the California Code of Civil Procedure Section 995.120).

All insurance policies required to be obtained by Contractor shall be subject to approval by University for form and substance. The Certificate of Insurance shall be issued on the University's form.

All information submitted for prequalification evaluation will be considered official information acquired in confidence, and the University will maintain its confidentiality to the extent permitted by law.

Every effort will be made to ensure that all persons have equal access to contracts and other business opportunities with the University within the limits imposed by law or University policy. Each Bidder may be required to show evidence of its equal employment opportunity policy. The successful Bidder and its subcontractors will be required to follow the nondiscrimination requirements set forth in the Bidding Documents and to pay prevailing wage at the location of the work.

The work described in the contract is a public work subject to section 1771 of the California Labor Code.

No contractor or subcontractor, regardless of tier, may be listed on a Bid for, or engage in the performance of, any portion of this project, unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5 and 1771.1.

This project is subject to compliance monitoring and enforcement by the Department of Industrial Relations.

The successful Prequalified Bidder shall pay all persons providing construction services and/or any labor on site, including any University location, no less than the UC Fair Wage (defined as \$13 per hour as of 10/1/15, \$14 per hour as of 10/1/16, and \$15 per hour as of 10/1/17) and shall comply with all applicable federal, state and local working condition requirements.

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA University of California, Merced June 19, 2019

LEVEL 1 PREQUALIFICATION QUESTIONNAIRE For

Project No.: 908079

SCIENCE & ENGINEERING 1 & 2 RENOVATIONS

Each prospective CM/Contractor must answer all of the following questions and provide all requested information. Any prospective CM/Contractor failing to do so may be deemed to be not responsive and not prequalified with respect to this Prequalification at the sole discretion of the University. All CM/Contractors that have submitted a Level 1 Prequalification Questionnaire will be notified in writing of whether or not they have successfully achieved Level 1 Prequalification status. Only those CM/Contractors that have successfully achieved Level 1 Prequalification status will be permitted to participate in the Level 2 Prequalification process. Those CM/Contractors that successfully achieve Level 2 Prequalification status will be determined to be prequalified and only those prequalified CM/Contractors will be eligible to submit a bid for this Project.

If the bidder can refute some of the facts upon which the above determinations were based, the bidder may request a review by the Facility. The decision resulting from such review is final and is not appealable within the University of California.

UBMITTED BY:		
	(Nam	e and Title) Printed or Typed
		(Signature)
	(Firm Name. If a	Joint Venture, state name of JV Entity)
		(Contact Name)
		(Address)
		(City, State, Zip Code)
	(Telephone Number)	(Cell Phone)
		F-mail Address)

All information submitted for Prequalification evaluation will be considered official information acquired in confidence, and the University will maintain its confidentiality to the extent permitted by law.

WHERE NECESSARY, COPY THE FORMS IN THIS PACKAGE. USE ONLY THESE FORMS.

1.

, her	reby declare that I am the
or	(Name of Firm)
ne above named firm; and that all info ments hereto are, to the best of my kn	authorized to sign this Prequalification ormation set forth in this Prequalification owledge, true, accurate and complete as a prequalification information submitted cuted in
(County),	, (State)
(Date).	
ו ו	of of of of of of of on the above named firm; and that all informents hereto are, to the best of my known of the penalty of perjury that all of the rect and that this declaration was exe (County),

2.

LICENSE

A.	Does your firm hold the following California contractor's license, which is current, valid
and in §	good standing with the California Contractor's State License Board?

		Lice	nse Classi	fication:	General	Contractor
		Lice	nse Code:	В		
		YES		NO		
	requisi	te license. If the enti	ty submit	ting is a	Joint \	questionnaire must be the holder of the Venture, the joint venture must hold the thership must hold the license)
B.	If yes,	provide the following	informatio	n about	your firi	m's contractor's license:
	1.	Name of license hole Board:	der exactly	y as on t	file with	the California Contractor's State License
	2.	License Classificatio	n:			
	3.	License Code:				
	4.	License Number:				
	5.	Date Issued:				
	6.	Expiration Date:				
	C. revoke					actor's license has not been suspended or eard within the last 5 years?
		YES	NO [
D.	the Joi partner	nt Venture or partner	ship entity rm's contr	truthfu	lly state license	aire is a Joint Venture or partnership, can that no member of the Joint Venture or suspended or revoked by the California?
		YES	NO [N/A

3. SURETY

Prospective CM/Contractor shall obtain and submit the Surety Declaration in the form shown below, signed by an authorized representative of the surety proposed to be used for this project and notarized. (NOTE -If firm has used current surety for less than ten years, list all surety(ies) previously used and indicate the number of years such sureties were used for the last ten (10) years. If entity submitting this prequalification document is a joint venture or a partnership, provide such information for each member or partner).

A.	Is the surety to be used for this project authorized by the Insurance Commissioner to transact business of insurance in the State of California?			
		YES	NO 🗌	
B.	-	prospective CM/Contrac ntract Sum Phase 1 \$12n		n bonding for the Anticipated Contract Value less
		YES	NO 🗌	
C.	benefit			on the performance bond issued by a surety for the ction activities of the prospective CM/Contractor
		YES	NO 🗌	
	1.	surety paid out any mo	onies on claims or rising out of the	cation questionnaire is a Joint Venture, has any n the performance bond issued by a surety for the construction activities of any member of the Joint
		YES	NO 🗌	NOT APPLICABLE

D. Surety Declaration:

PROVIDE THIS DECLARATION TO YOUR SURETY(IES) FOR COMPLETION. DO NOT HAVE THE SURETY SUBMIT THIS INFORMATION DIRECTLY TO THE UNIVERSITY.

Project No.: 908079

(County),	, (State)
(Date).	
yped)	
	(City, State, Zip Code)
	(Facsimile Number)
	(Date).

(ATTACH NOTARIZATION of SURETY REPRESENTATIVE'S SIGNATURE)

4. INSURER

Prospective CM/Contractor shall obtain and submit the Insurance Declaration in the form shown below, signed by an authorized representative of its insurer and notarized. (If more than one insurer, submit a completed form for each insurer).

A.	listed		ng of A- or better,	required insurance (except Wor and a financial classification of ody's)?	
		YES	NO 🗌		
	Indic	ate Best Rating:			
		ate Best Financial Cl rovide Standard & Po		ng)	
B.	or be		a financial classifi	ensation insurance listed by Bes cation of VIII or better (or an	_
		YES	NO 🗌		
	Indic	ate Best Rating:			
		ate Best Financial Cl rovide Standard & Po		ng)	
	If ans	swer is NO, provide	name and address o	f insurer:	
C.		e prospective CM/Gruction contract?	Contractor able to	obtain insurance in the follow	wing limits for this
		YES	NO 🗌		
	1.			ication questionnaire is a Joint V nce in the following limits for	
		YES	NO 🗌	NOT APPLICABLE	
Each Produ Perso	Occurre acts - Co	ence - Combined Sing ompleted Operations Advertising Injury	gle Limit for Bodily	ts of Liability Minima Injury and Property Damage	um Requirement \$1,000,000 \$2,000,000 \$1,000,000 \$2,000,000

<u>Business Automobile Liability Insurance - Limits of Liability</u>
Each Accident - Combined Single Limit for Bodily Injury and Property Damage \$1,000,000

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July 1, 2002 CM/Contractor: LOPQ

D. Insurance Declaration:

PROVIDE THIS DECLARATION TO YOUR INSURANCE CARRIER FOR COMPLETION. DO NOT HAVE THE CARRIER SUBMIT THIS DECLARATION DIRECTLY TO THE UNIVERSITY.

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,	(County),	, (State)
on	(Date).	
(Signature)		
(Name and Title - Printed or Typ	ed)	
(Representing [Insurer Name])		
(Firm Name)		
(Address)		(City, State, Zip Code)
(Telephone Number)		(Facsimile Telephone Number

(ATTACH NOTARIZATION of INSURER REPRESENTATIVE'S SIGNATURE)

5. CONSTRUCTION EXPERIENCE (IN COMPARABLE PROJECTS)

Your firm must have successfully completed at least 3 comparable projects within the last 7 years, all of which were constructed in the United States of America and one of which was constructed in the State of California. At least one (1) of the projects submitted in response to this question must include experience as CM/Contractor providing *BOTH* pre-construction services and acting as General Contractor during construction phase on the same project.

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- A. Subject to the above qualifications, a comparable project is defined as having ALL of the following:
 - 1. Construction cost at the bid date of at least \$1,000,000; AND

at least one (1) of the following locations:

- a. Active Campus
- b. Active Classrooms
- c. Active Laboratory
- 2. at least one (1) of the following construction challenge:
 - a. Interior Renovations of a Science & Engineering Building
 - b. Renovation of a functional, fully occupied building
- B. If the entity submitting this prequalification questionnaire is a Joint Venture, the Joint Venture entity itself must demonstrate the required previous construction experience as a joint venture. Joint Venture teams newly formed to pursue this prequalification opportunity are not eligible for Level 1 prequalification.
- C. Listed projects must have been managed and constructed by the business entity submitted for Level 1 prequalification. Projects completed by present employees of the contractor for former employers are not acceptable.

COMPLETE AND SUBMIT THE FOLLOWING PROJECT DATA SHEET FOR EACH COMPARABLE PROJECT SUBMITTED AS EVIDENCE OF YOUR FIRM'S EXPERIENCE. SUBMIT NOT MORE OR LESS THAN THE NUMBER PROJECT DATA SHEETS CORRESPONDING TO THE REQUIRED NUMBER OF COMPARABLE PROJECTS LISTED ABOVE.

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PROJECT DATA SHEET

(A separate sheet must be prepared for each project submitted.)

F	Project Name:
F	Project Location:
F	Project Description:
C	Construction Type:
S	Size (gross sq. ft.):
E	Business name of entity which constructed and managed this project:
	Did your firm act as a CM/Contractor during the entire project, providing pre-construction services a act as General Contractor during the construction phase for this project? (At least one (1) osubmitted projects must have included both performance of pre-construction services and performance of pre-construction services are pre-cons
S	General Contractor.)
S	
s C	General Contractor.) YES NO NO How is this project comparable to the project to be bid?
s C	General Contractor.) YES NO NO How is this project comparable to the project to be bid? Cost At Bid: \$
s (General Contractor.) YES NO NO How is this project comparable to the project to be bid?
S C C C C C C C C	General Contractor.) YES NO NO How is this project comparable to the project to be bid? Cost At Bid: S Cost At Completion: \$
S C C C C C C C C	Seneral Contractor.) YES NO NO How is this project comparable to the project to be bid? Cost At Bid: S Cost At Completion: Describe the sources and/or causes of the above differences in costs with reference to the followategories: Document Problems:
S C C C C C C C C	Seneral Contractor.) YES NO NO How is this project comparable to the project to be bid? Cost At Bid: S Cost At Completion: Describe the sources and/or causes of the above differences in costs with reference to the followategories:

11. Was construction of the project begun and completed within the last ten (10) years?

	Contract Tim	e at bid date (Number of calendar days)
	Formally adju	usted Contract Time (Number of calendar days; if not adjusted, state able"
		ed Time between issuance of Notice To Proceed and date of final Number of calendar days)
		within the Contract Time at bid date or within the formally Adjusted Contract or reasons for the delay:
Were either	liquidated damag	es or actual damages for delay assessed on this project?
YES	S 🗌	NO
Did the proj	ect require constr	uction management services prior to construction?
YES	S 🗌	NO
Name of Pro	oject Manager:	
Name of Pro	oject Superintende	ent:
Name of Pro	oject Engineer:	
Did your fire	m self-perform an	ny of the work?
YES	; <u> </u>	NO
		es you self-performed

Client Firm Name:		
Client Contact:		Title:
Client Address:		
Client City:		State: Zip:
Client Phone:	()	Fax: ()
Client E-mail Address	:	
Architect/Engineer/ Consultants:		
Architect/Engineer Contact Name:		Phone:
Architect/Engineer E-mail Address:		

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(Attach additional pages with other pertinent project information as necessary.)

6. PAST AND PRESENT PROJECTS FOR THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

COMPLETE AND SUBMIT THE FOLLOWING CURRENT PROJECTS FOR THE REGENTS OF THE UNIVERSITY OF CALIFORNIA PROJECT DATA SHEET FOR EACH CURRENT PROJECT (REGARDLESS OF PROJECT CONTRACTING METHOD) BETWEEN YOUR FIRM AND THE REGENTS OF THE UNIVERSITY OF CALIFORNIA.

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If none, state "NONE" (Do not leave blank).	

CURRENT PROJECTS FOR THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

PROJECT DATA SHEET

(A separate sheet must be prepared for each project submitted.)

Project Name:
Project Location (which campus?):
Type of Facility:
Project Contracting Method (e.g., Lump Sum, Design Build, etc.)
Project Description:
Construction Type:
Size (gross sq. ft.):
Is this project comparable to the project to be bid? If "yes", explain how:
Current Project Status:
Original Contract Time:
Current Contract Time :
Anticipated Contract Time:
Describe the sources and/or causes of the above differences in cost with reference to the following categories:
Document Problems: \$
Unforeseen Conditions: \$
Owner Generated Scope: \$
Regulatory Agency: \$

12.	Business name of entity which is performing this project:						
13.	If the entity submitting this prequalification questionnaire is a Joint Venture, is the Joint Venture entity itself performing this project?						
	YES	NO 🗌	N/A				
UC C	lient Contact:		Title:				
UC C	lient Phone:	()	Fax: ()				
UC C	lient E-mail Address:						
	tect/Engineer/ ultants:						
	tect/Engineer ct Name:		Phone:				
	tect/Engineer 1 Address:						

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(Attach additional pages with other pertinent project information as necessary.)

7. STAFF EXPERIENCE

The Project Manager, and Project Superintendent listed will be considered qualified only if he/she has successfully completed at least two (2) comparable projects, as defined in Section 5. Your firm will also be required to certify that the following key personnel are committed to the project at the time of Level 2 Prequalification.

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A. FULL-TIME PROJECT MANAGER:

(Note: This individual will be required to attend the Level 2 interview)

(Attach resume)	
Employed by this firm:	years
Present position/job function	within firm:
Years in present position/job	function:years
The Project Manager named	above was assigned to the following comparable proj
Project:	Construction Cost:
a.	
b.	
c.	
Data Sheets are submitted: DID NOT WORK IN TH PROJECTS FOR WHICH P	above worked on the following projects for which (NOTE: IF THE ABOVE DESIGNATED INDIVISES CAPACITY ON AT LEAST TWO COMPAROJECT DATA SHEETS WERE SUBMITTED, PROFOR TWO OF THE PROJECTS LISTED IN RESE
A PROJECT DATA SHEET TO A.5 ABOVE.)	

B. FULL-TIME PROJECT SUPERINTENDENT:

(Note: This individual will be required to attend the Level 2 interview)

(Attach resume)		
Employed by this firm:	_	years
Present position/job func	tion within firm:	
Years in present position	/job function: _	years
The Project Superintend projects:	lent named abov	e was assigned to the following comp
Project:		Construction Cost:
a.		
b.		
Project Data Sheets a INDIVIDUAL DID NO COMPARABLE PROJ	are submitted: (OT WORK IN ECTS FOR W E A PROJECT D	e worked on the following projects for (NOTE: IF THE ABOVE DESIGNATHIS CAPACITY ON AT LEAST HICH PROJECT DATA SHEETS VATA SHEET FOR TWO OF THE PROJ.)

FULL-TIME PROJECT ENGINEER:

C.

(Note: It is optional for this individual to attend the Level 2 interview) 1. The name of the specific Project Engineer to be committed continuously to this project: (Attach resume(s)) 2. Employed by this firm: ____years 3. Present position/job function within firm: 4. Years in present position/job function: ______years The Project Engineer named above were assigned to the following comparable projects: 5. Project: **Construction Cost:** 6. The Project Engineer named above worked on the following projects for which Project Data Sheets are submitted:

8. SAFETY PROGRAM

A. Does your firm have a written Injury and Illness Prevention Program (IIPP) that complies with California Code of Regulations, Title 8, Sections 1509 and 3203?					
YES	NO 🗌				
Does your firm have a	written safety program that m	eets CAL/OSHA requirements?			
YES	NO 🗌				
Will your firm have personnel permanently assigned and dedicated to Safety on this project?					
YES	NO 🗌				
D. If "Yes", state their specific duties:	the names of all such personn	nel who will be assigned and individually list			
Name, Title		Specific Duties			
	de certification and safety relat	ed training received.)			
Attach resumes (include	de certification and safety relat				
Attach resumes (include E. Have you had	de certification and safety relat	ed training received.)			
Attach resumes (included E. Have you had within the last five (5)	de certification and safety related accidents, which resulted in a years?	ed training received.)			
Attach resumes (included E. Have you had within the last five (5) YES If yes, provide addition F. Has your firm	de certification and safety relate accidents, which resulted in a years? NO nal information.	construction fatality, on any of your projects compensation Experience Modification Rate			
Attach resumes (included E. Have you had within the last five (5) YES If yes, provide addition F. Has your firm	de certification and safety relatation and safety relatation accidents, which resulted in a years? NO nal information. m maintained a Workers' Corelow 1.15 for the past five years.	construction fatality, on any of your projects compensation Experience Modification Rate			
Attach resumes (included E. Have you had within the last five (5) YES If yes, provide addition F. Has your firm (EMR) that averages by YES YES	de certification and safety relatation and safety relatation accidents, which resulted in a years? NO nal information. m maintained a Workers' Corelow 1.15 for the past five years.	construction fatality, on any of your projects compensation Experience Modification Rate ars? R:			

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July 1, 2002 CM/Contractor: LOPQ

9.	QUALITY CONTROL/QUALITY ASSURANCE PROGRAM (QC/QA)							
	A.	Does your firm have a written quality control/quality assurance program?						
		YES	NO 🗌					
	If YES	, submit a copy of your QC/QA program with this submission.						
	B.	Will your firm have perso	onnel permanently assigned and o	dedicated to QC/QA on this project?				
		YES	NO 🗌					
		If "Yes", state the their specific duties:	e names of all such personnel w	ho will be assigned and individually list				
		Name, Title		Specific Duties				
10.	BUSINESS CONSTRUCTION REVENUE For the purposes of this Level 1 prequalification questionnaire, "business construction revenue" shall be defined as payments to prospective CM/Contractor for pre-construction services, construction management, and construction services.							
	A.	\$75 Million (excluding a consecutive calendar year	any and all legal awards) for ears?	business construction revenue of at least ach and every one of the last five (5)				
		YES	NO 🗌					
	B. If the entity submitting this prequalification questionnaire is a Joint Venture, can the Venture entity truthfully state that its members have had a combined annual business construction of at least \$75 Million (excluding any and all legal awards) for each and every on last five (5) consecutive calendar years?							
		YES	NO 🗌	N/A				

11. DISCIPLINARY MEASURES HISTORY

	A.	with	you truthfully state that your firm has not been disqualified or barred from doing business a public agency (e.g., federal, state, county, city, University of California System, ornia State University System, etc.) within the last 10 years?					
			YES	NO				
			If NO, please	explain:				
		1.	Joint Venture disqualified or	entity truthfu barred from Iniversity of C	lly state that r doing busines	no members of the second second members of the second members of t	e is a Joint Ventur the Joint Venture agency (e.g., fed tate University Sy	have been leral, state,
			YES	NO		N/A		
			If NO, please	explain:				
12.	FALS	E CLA	MS HISTORY					
	A.	h	ave submitted a f	alse claim to a	a public agency	y (e.g., federal, s	a final decision of tate, county, city, within the last 5 y	University
			YES		NO 🗌			
	If NO, explain:							
			can the Joi been f public	nt Venture en ound in a fina agency (e.g	tity truthfully sal decision of ., federal, sta	state that no mer a court to have te, county, city	tionnaire is a Join nber of the Joint V submitted a false y, University of vithin the last 5 ye	enture has claim to a California
			YES		NO 🗌	N	/A 🗌	
			If NO,	explain:				