ADDENDUM NO. 2
To the
PREQUALIFICATION DOCUMENTS
February 5, 2015

I. Potential Bidder acknowledges that is the Bidder’s responsibility to ascertain whether any Addenda have been issued and if so, to obtain copies of such Addenda. Potential Bidder therefore agrees to be bound by all Addenda that have been issued for this prequalification.

The following changes, additions, or deletions shall be made to the following documents as indicated and all other Prequalification Documents shall remain the same.

II. CHANGES TO DOCUMENTS
A. ADVERTISEMENT FOR PREQUALIFICATIONS
   i. None

B. LEVEL 1 PREQUALIFICATION QUESTIONNAIRE
   i. Add 5.A.1.c. Active Downtown as allowable location
   ii. Revise 5.A.2.a. New office construction: New construction of office building, conference center, classroom building and/or dry laboratory for a public entity
   iii. Revise 5.A.2.b. Minimum 4-stories 3-stories
   iv. Revise 5.A.3 Highly preferred construction challenges; additional points awarded for the following attributes on any one of the 3-5 above comparable projects*:
   v. Revise 5.A.3.f. Construction in active downtown area Building Owner was University of California or other higher education entity (excluding Junior Colleges) (3pts)

III. REQUESTS FOR CLARIFICATION
A. Q: What does the University consider “substantiating documentation” for the preferred challenges noted in part 5.A.3 of the prequalification questionnaire?
   i. A: Given the varied nature of the preferred challenges, the substantiating documentation will be any document, record, or photograph that reasonably proves that the project in fact met the preferred challenge(s). A narrative regarding the preferred challenge(s) is encouraged for clarity, but a narrative alone is not considered substantiating documentation. No change to the documents.

B. Q: On Page 19, the 2nd “E” requests disclosure on Serious, Repeat or Willful Cal-OHAS fines but does not provide a timeframe, eg “within the last 20 years”. Is this the University’s intention?
   i. A: There is no timeframe for this question. The University is requesting disclosure of any such Cal-OSHA fines. No change to the documents.

C. What is the anticipated start of subcontractor bidding? What is the anticipated start of construction?
i. A: University anticipates that subcontractor bidding will start late Summer 2015, with construction starting mid Fall 2015.

D. Q: Please confirm that we only submit substantiating documentation for these challenges to 1 of our submitted projects and not all 5.
   i. A: Substantiating documentation only needs to be provided on those projects that have preferred challenges per 5.A.3. Reference II.B.iv for document changes for this item.

E. Q: Given that the Downtown Center will likely be a 3-story building, will the requirement for a minimum of 4-stories be reduced to 3?
   i. A: The University has not yet made its final determination on whether the Downtown Center will be 3 or 4-stories yet. However, the requirement will be reduced to a minimum of 3-stories. Reference II.B.iii for document changes for this item.

F. Q: With the Downtown Center being located in an active downtown area, will the University include “downtown city area” as an allowable location for comparable projects per 5.A.1?
   i. A: The University will include “downtown city area” as an allowable location for comparable projects per 5.A.1. However, the University will add language requiring that the work was done for a public entity in order to ensure that Bidders have experience with public work administration, way of doing business, etc. Reference II.B.i and II.B.v for document changes for this item.

G. Q: Will the University consider other construction types that are similar to office buildings for part 5.A.2?
   i. A: The University will consider other similar construction types as identified for part 5.A.2. Reference II.B.ii for document changes for this item.

H. Q: What is the University’s intention with requiring the “Level 2 Enclosure Key Personnel” at the time of the Level 2 Interviews?
   i. A: The Level 1 Prequalified Bidder will be required to provide the signed enclosure committing the prequalified project team to the project. Bidder is to note that the University has the expectation that those team members prequalified are the team members that are assigned to the project. Within the CM at Risk Contract, the University has included stringent language and fees to discourage switching the prequalified team with other employees of the successful Bidder’s firm. No document changes.

I. Q: Is it the University’s intention to sell the Downtown Center in either the near-term or long-term future?
   i. A: No, it is not the University’s intention at this time to sell the Downtown Center. No document changes.

J. Of the Level 2 Interview categories, which is the most important to the University?
   i. A: All categories are integral to a successful presentation, however, the University considers the justification and qualification for the proposed project team to be the most important. No document changes.

K. Q: Has an Architect been selected for this project? If so, which firm is on the project?
   i. A: Yes, an Architect has been selected. Heller Manus Architects is on the project. For more information, please reference UC Merced’s website: http://rfp-rfq.ucmerced.edu/content/downtown-center-architectural-services No document changes.
L. Q: With this project being built downtown, will the University or the City of Merced have jurisdiction for plan review and inspections?

i. A: The University and the City of Merced will have joint jurisdiction, with the City providing inspections and the University providing QA/QC. Fire Marshal jurisdiction will also be jointly held with between the University and City of Merced. No document changes.

IV. ATTACHMENTS
A. Prequalification Questionnaire
B. Non-Mandatory Prequalification Conference Sign-In Sheet
C. Level 2 Interview Requirements

UNIVERSITY OF CALIFORNIA, MERCED
By: University of California, Merced
University’s Representative

__________________________
Michael Chow
Director of Design
LEVEL 1
PREQUALIFICATION QUESTIONNAIRE
For
DOWNTOWN CENTER (DWTWN CNTR)

Each prospective CM/Contractor must answer all of the following questions and provide all requested information (two (2) copies, one (1) being the original). Any prospective CM/Contractor failing to do so may be deemed to be not responsive and not prequalified with respect to this Prequalification at the sole discretion of the University. All CM/Contractors that have submitted a Level 1 Prequalification Questionnaire will be notified in writing of whether or not they have successfully achieved Level 1 Prequalification status. Only those CM/Contractors that have successfully achieved Level 1 Prequalification status will be permitted to participate in the Level 2 Prequalification process. Those CM/Contractors that successfully achieve Level 2 Prequalification status will be determined to be prequalified and only those prequalified CM/Contractors will be eligible to submit a bid for this Project.

If the bidder can refute some of the facts upon which the above determinations were based, the bidder may request a review by the Facility. The decision resulting from such review is final and is not appealable within the University of California.

To be considered Level 1 Prequalified, prospective CM/Contractor must pass ALL pass/fail requirements AND score a minimum 22 points on preferred items as identified within the questionnaire.

SUBMITTED BY: _____________________________________________________________
(Name and Title) Printed or Typed
____________________________________________________________
(Signature)
____________________________________________________________
(Firm Name. If a Joint Venture, state name of JV Entity)
____________________________________________________________
(Contact Name)
____________________________________________________________
(Address)
____________________________________________________________
(City, State, Zip Code)
____________________________________________________________
(Telephone Number)          (Facsimile Number)
___________________________________________________________
(E-mail Address)

All information submitted for Prequalification evaluation will be considered official information acquired in confidence, and the University will maintain its confidentiality to the extent permitted by law.

WHERE NECESSARY, COPY THE FORMS IN THIS PACKAGE. USE ONLY THESE FORMS.
1. PREQUALIFICATION DECLARATION

I, ________________________________, hereby declare that I am the
(Printed Name)
_________________________ of ____________________________
(Title) (Name of Firm)

submitting this Prequalification Questionnaire; that I am duly authorized to sign this Prequalification
Questionnaire on behalf of the above named firm; and that all information set forth in this Prequalification
Questionnaire and all attachments hereto are, to the best of my knowledge, true, accurate and complete as of
its submission date.

The undersigned declares under penalty of perjury that all of the prequalification information submitted with
this form is true and correct and that this declaration was executed in
_________________________ (County), ___________________________, (State)
on ___________________________ (Date).

_________________________ (Signature)
2. LICENSE

A. Does your firm hold one of the following California contractor’s license, which is current, valid, and in good standing with the California Contractor’s State License Board?

License Classification: GENERAL CONTRACTOR
License Code: B

☐ YES
☐ NO

(NOTE -The entity submitting this prequalification questionnaire must be the holder of the requisite license. If the entity submitting is a Joint Venture, the joint venture must hold the license. If the entity submitting is a partnership, the partnership must hold the license)

B. If yes, provide the following information about your firm’s contractor’s license:

1. Name of license holder exactly as on file with the California Contractor’s State License Board:
   __________________________________________________________________________

2. License Classification: ______________________________________________________

3. License Code: ____________________________________________________________

4. License Number: __________________________________________________________

5. Date Issued: ______________________________________________________________

6. Expiration Date: ___________________________________________________________

C. Can you truthfully state that your firm’s contractor’s license has not been suspended or revoked by the California Contractor’s State License Board within the last 5 years?

☐ YES ☐ NO ☐

D. If the entity submitting this prequalification questionnaire is a Joint Venture or partnership, can the Joint Venture or partnership entity truthfully state that no member of the Joint Venture or partnership has had their firm’s contractor’s license suspended or revoked by the California Contractor’s State License Board within the last 5 years?

☐ YES ☐ NO ☐ N/A ☐
3. **SURETY**

Prospective CM/Contractor shall obtain and submit the Surety Declaration in the form shown below, signed by an authorized representative of the surety proposed to be used for this project and notarized. (NOTE - If firm has used current surety for less than ten years, list all surety(ies) previously used and indicate the number of years such sureties were used for the last ten (10) years. If entity submitting this prequalification document is a joint venture or a partnership, provide such information for each member or partner).

A. Is the surety to be used for this project authorized by the Insurance Commissioner to transact business of insurance in the State of California?

   YES ☐       NO ☐

B. Is the prospective CM/Contractor able to obtain bonding for the Anticipated Contract Value less the Contract Sum Phase 1 (~$22,000,000)

   YES ☐       NO ☐

C. Has any surety paid out any monies on claims on the performance bond issued by a surety for the benefit of the Owner arising out of the construction activities of the prospective CM/Contractor within the last ten (10) years?

   YES ☐       NO ☐

1. If the entity submitting this prequalification questionnaire is a Joint Venture, has any surety paid out any monies on claims on the performance bond issued by a surety for the benefit of the Owner arising out of the construction activities of any member of the Joint Venture within the last ten (10) years?

   YES ☐       NO ☐       NOT APPLICABLE ☐
D. Surety Declaration:

PROVIDE THIS DECLARATION TO YOUR SURETY(IES) FOR COMPLETION. DO NOT HAVE THE SURETY SUBMIT THIS INFORMATION DIRECTLY TO THE UNIVERSITY.

The undersigned declares under penalty of perjury that the bonding capacity indicated above is true and correct and that this declaration was executed in

___________________________________ (County), __________________________, (State)
on ______________________________ (Date).

(Signature)

(Name and Title - Printed or Typed)

(Representing [Surety Name])

(Firm Name)

(Address) (City, State, Zip Code)

Telephone Number) (Facsimile Number)

(Email Address)

(ATTACH NOTARIZATION of SURETY REPRESENTATIVE'S SIGNATURE)
4. **INSURER**

Prospective CM/Contractor shall obtain and submit the Insurance Declaration in the form shown below, signed by an authorized representative of its insurer and notarized. (If more than one insurer, submit a completed form for each insurer).

A. Is the insurer listed below to be used for all required insurance (except Workers Compensation) listed by Best with a rating of A- or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's)?

| YES ☐ | NO ☐ |

Indicate Best Rating: ________________________

Indicate Best Financial Classification: ________________________
(or provide Standard & Poor or Moody's rating)

B. Is the insurer to be used for Workers Compensation insurance listed by Best with a rating of B+ or better, and also have a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's)?

| YES ☐ | NO ☐ |

Indicate Best Rating: ________________________

Indicate Best Financial Classification: ________________________
(or provide Standard & Poor or Moody's rating)

If answer is NO, provide name and address of insurer:

__________________________

C. Is the prospective CM/Contractor able to obtain insurance in the following limits for this construction contract?

| YES ☐ | NO ☐ |

1. If the entity submitting this prequalification questionnaire is a Joint Venture, can the Joint Venture entity itself obtain insurance in the following limits for this construction contract?

| YES ☐ | NO ☐ | NOT APPLICABLE ☐ |

<table>
<thead>
<tr>
<th>Commercial Form General Liability Insurance - Limits of Liability</th>
<th>Minimum Requirement</th>
</tr>
</thead>
<tbody>
<tr>
<td>Each Occurrence - Combined Single Limit for Bodily Injury and Property Damage</td>
<td>$2,000,000</td>
</tr>
<tr>
<td>Products - Completed Operations Aggregate</td>
<td>$4,000,000</td>
</tr>
<tr>
<td>Personal and Advertising Injury</td>
<td>$2,000,000</td>
</tr>
<tr>
<td>General Aggregate</td>
<td>$4,000,000</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Business Automobile Liability Insurance - Limits of Liability</th>
</tr>
</thead>
<tbody>
<tr>
<td>Each Accident - Combined Single Limit for Bodily Injury and Property Damage</td>
</tr>
</tbody>
</table>
D. Insurance Declaration:

PROVIDE THIS DECLARATION TO YOUR INSURANCE CARRIER FOR COMPLETION. DO NOT HAVE THE CARRIER SUBMIT THIS DECLARATION DIRECTLY TO THE UNIVERSITY.

The undersigned declares under penalty of perjury that all of the above insurer information is true and correct and that this declaration was executed in

__________________________________________ (County), __________________________________________, (State)
on ______________________________ (Date).

(Signature)

(Name and Title - Printed or Typed)

(Representing [Insurer Name])

(Firm Name)

(Address) (City, State, Zip Code)

(Telephone Number) (Facsimile Telephone Number)

(Email Address)

(ATTACH NOTARIZATION of INSURER REPRESENTATIVE’S SIGNATURE)
5. **CONSTRUCTION EXPERIENCE (IN COMPARABLE PROJECTS)**

Your firm must have successfully completed at least 3 comparable projects within the last 7 years, all of which were constructed in the State of California. Maximum of 5 projects may be submitted.

A. Subject to the above qualifications, a comparable project is defined as having **ALL** of the following:

1. a construction cost at the bid date of at least $16,000,000; AND

   at least one (1) of the following locations:
   
   a. Active University of California Campus  
   b. Active Higher Education Campus (excluding Junior Colleges)  
   c. **Active Downtown Area**

2. **ALL** of the following construction challenges:

   a. New office building construction New construction of office building, conference center, classroom building and/or dry laboratory for a public entity.
   
   b. Minimum 4 stories  
   c. Minimum 50,000 gsf

3. Highly preferred construction challenges; additional points awarded for the following attributes on any one of the above comparable projects:

   a. New office building with mixed use first floor (5pts)  
   b. High density office building, maximum 150sqft/occupant (5pts)  
   c. Fast Track Design with multiple Bid Packages (5pts)  
   d. Utilization of Design Assist MEP Subcontractors (5pts)  
   e. Project Team (PM, Superintendent and PE) is the same as Proposed Downtown Center Team (5pts)  
   f. Construction in active downtown city area Building Owner was University of California or other higher education entity (excluding Junior Colleges) (3pts)  
   g. Coordination and submission of successful Integrated Exterior Skin Shop Drawing (2pts)

   *Firm to submit substantiating documentation for all claimed preferred construction challenges. If substantiating documentation is not provided, points will not be awarded.

B. Your firm must have successfully completed at least one (1) of the above comparable projects utilizing one of the following Project Contracting Methods:
a. **CM/Contractor** (CM at Risk) providing BOTH pre-construction services and acting as General Contractor during the construction phase on the same project.

b. **Design/Builder** providing BOTH pre-construction services and acting as General Contractor during the construction phase on the same project (**5pts**).

C. If the entity submitting this prequalification questionnaire is a Joint Venture, the Joint Venture entity itself must demonstrate the required previous construction experience as a joint venture. Joint Venture teams newly formed to pursue this prequalification opportunity are not eligible for Level 1 prequalification.

D. Listed projects must have been managed and constructed by the business entity submitted for Level 1 prequalification. Projects completed by present employees of the contractor for former employers are not acceptable.

6. **CURRENT PROJECTS FOR THE REGENTS OF THE UNIVERSITY OF CALIFORNIA**

Complete and submit the following *Past and Present Projects for The Regents of the University of California Project Data Sheet* for each past project (regardless of project contracting method) between your firm and The Regents of the University of California for the last 10 years. If the Project has been previously submitted in response to question # 5 indicate same after Project Name entry.

If none, state “NONE” (Do not leave blank).

7. **PAST PROJECTS FOR THE REGENTS OF THE UNIVERSITY OF CALIFORNIA**

Complete and submit the following *Past and Present Projects for The Regents of the University of California Project Data Sheet* for each past project (regardless of project contracting method) between your firm and The Regents of the University of California for the last 10 years. If the Project has been previously submitted in response to question # 5 indicate same after Project Name entry.

If none, state “NONE” (Do not leave blank).
**PROJECT DATA SHEET**

(A separate sheet must be prepared for each project submitted.)

1. **Project Name**

2. **Project Location**

3. **Type of Facility**

4. **Project Description**

5. **Required Challenges**
   - New office building construction
   - Minimum 4-stories
   - Minimum 50k gsf

6. **Preferred Challenges**
   - New office building with mixed-used 1st floor
   - High density office building, max 150sqft/occupant
   - Fast Track Design with multiple Bid Packages
   - Utilization of Design Assist MEP Subcontractors
   - Project Team same as Proposed Dwntwn Cntr Team
   - Construction in downtown city area
   - Interior Exterior Skin Shop Drawings
   - Add'l Pts

   *(Provide substantiation documentation for all selected preferred challenges)*

7. **Delivery Method**
   - CM/Contractor (CM at Risk)
   - Design/Build

8. **Cost at Bid**

9. **Cost at Completion**

10. **Sources and/or causes of the above difference with reference to the following categories**
    - Document Problems
    - Unforseen Conditions

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July 1, 2002
CM/Contractor: LOPQ
Addendum No. 1 / Addendum No. 2
Level 1 Prequalification Questionnaire
Revision 1
Regulatory Agency $ ____________

Other $ ____________

Explain Other ________________

11 Project Start (mm/yyyy) ___ / ___ ___

12 Project Complete (mm/yyyy) ___ / ___ ___

13 Original Contract Time ____________ Calendar Days

Formally Adjusted Contract Time ____________ Calendar Days

Actual elapsed time between issues of Notice to Proceed and date of final completion ____________ Calendar Days

14 Were either Liquidated Damages or actual damages for delay assessed on this project?

☐ Yes

☐ No

15 Did the project require construction management services prior to construction?

☐ Yes

☐ No

16 Name of Project Manager

______________________________

Proposed for Dwntwn Cntr? ☐ Yes

☐ No

17 Name of Project Superintendent

______________________________

Proposed for Dwntwn Cntr? ☐ Yes

☐ No

18 Name of Project Engineer

______________________________

Proposed for Dwntwn Cntr? ☐ Yes

☐ No
19 Name of Preconstruction Manager

_____________________________________________________

Proposed for Dwntwn Cntr?

☐ Yes

☐ No

20 Did you self-perform any of the work?

☐ Yes

☐ No

21 If Yes, specify the self-performed trades

_____________________________________________________

22 If the entity submitting this prequalification questionnaire is a Joint Venture, is the Joint Venture entity itself performing this project?

☐ Yes

☐ No

23 Client Firm Name

_____________________________________________________

24 Client Contact

_____________________________________________________

25 Client Address

_____________________________________________________

27 Client Phone

_____________________________________________________

28 Client Email Address

_____________________________________________________

29 Architect/Engineer Consultants

_____________________________________________________

30 Architect/Engineer Contact Name

_________________________________________ Phone ____________

31 Architect/Engineer Email Address

_____________________________________________________

(Attach additional pages with other pertinent project information as necessary)
# PAST and PRESENT PROJECTS FOR THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

## PROJECT DATA SHEET
(A separate sheet must be prepared for each project submitted.)

1. **Project Name:**
   
2. **Project Location (which campus):**
   
3. **Type of Facility:**
   
4. **Project Contracting Method (e.g., Lump Sum, Design/Build, etc.):**

5. **Project Description:**

6. **Construction Type:**

7. **Size (gross sq. ft.):**

8. **How is this project comparable to the project to be bid?**

9. **Cost at Bid:** $ 

10. **Cost at Completion:** $ 

11. **Describe sources and/or causes of the above difference in costs with reference to the following categories:***
   - **Document Problems** $ 
   - **Unforeseen Conditions:** $ 
   - **Regulatory Agency:** $ 
   - **Other:** $ 
   - **Explain Other:**

12. **Original Contract Time:**

    **Formally adjusted Contract Time (calendar days):**

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07/01/2002
CM/Contractor: LOPQ
Addendum No. 1 / Addendum No. 2
Level 1 Prequalification Questionnaire
Revision 1
Actual Elapsed Time between issuance of Notice to Proceed and date of final completion (calendar days):

13. Were either liquidated damages or actual damages for delay assessed on this project?  
   [ ] Yes  [ ] No

14. If the entity submitting this prequalification questionnaire is a Joint Venture, is the Joint Venture entity itself performing this project?  
   [ ] Yes  [ ] No

15. Did The Regents assess any back-charges?  
   [ ] Yes  [ ] No

16. Did The Regents assess any liquidated damages?  
   [ ] Yes  [ ] No

17. Were any claims filed on the project?  
   [ ] Yes  [ ] No

18. If claims were filed on the project, please provide the following details for each claim:  
   [ ] Yes  [ ] No  
   Dollar Amount of Initial Claim:  
   $ ____________________________  
   Source of Claim (e.g., subcontractor, etc.): ____________________________  
   Method of Resolution (e.g., negotiation, mediation, arbitration, litigation, etc.):  
   ___________________________________________________________________

19. UC Contact:  ____________________________  Title:  ____________________________

20. UC Client Phone:  ____________________________

21. UC Client E-mail Address:  ____________________________

22. Architect/Engineer/Consultants:  ____________________________

23. Architect/Engineer Contact Name:  ____________________________  Phone:  ____________________________

24. Architect/Engineer E-mail Address:  ____________________________  
   (Attached additional pages with other pertinent information as necessary.)
8. STAFF EXPERIENCE

The Project Manager, and Project Superintendent listed will be considered qualified only if he/she has successfully completed at least two (2) comparable projects, as defined in Section 5.A. The Pre-Construction Manager and Project Engineer listed will be considered qualified only if he/she has successfully completed at least one (1) of the comparable projects per Section 5.A. Additional points will be awarded for the Pre-Construction Manager being the same person as the Project Manager. Your firm will also be required to certify that the following key personnel are committed to the project at the time of Level 2 Prequalification.

A. FULL-TIME PROJECT MANAGER:
   (Note: This individual will be required to attend the Level 2 interview)

1. The name of the specific Project Manager to be committed to this project on a full-time basis and continuously retained throughout this project is:

   (Attach resume)

2. Employed by this firm: _____ years

3. Present position/job function within firm: ________________________________

4. Years in present position/job function: _____ years (minimum: 7 years in position)

5. The Project Manager named above was assigned to the following comparable projects:

<table>
<thead>
<tr>
<th>Project</th>
<th>Construction Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>a.</td>
<td></td>
</tr>
<tr>
<td>b.</td>
<td></td>
</tr>
<tr>
<td>c.</td>
<td></td>
</tr>
</tbody>
</table>

6. The Project Manager named above worked on the following projects for which Project Data Sheets are submitted: (NOTE: IF THE ABOVE DESIGNATED INDIVIDUAL DID NOT WORK IN THIS CAPACITY ON AT LEAST TWO COMPARABLE PROJECTS FOR WHICH PROJECT DATA SHEETS WERE SUBMITTED FOR SECTION 5.A, PROVIDE A PROJECT DATA SHEET FOR TWO OF THE PROJECTS LISTED IN RESPONSE TO A.5 ABOVE.)

   | a. | | b. | | c. |

7. Will also act as Pre-Construction Manager (10pts if Yes): YES / NO
B. FULL-TIME PROJECT SUPERINTENDENT:
(Note: This individual will be required to attend the Level 2 interview)

1. The name of the specific Project Superintendent to be committed to this project on a full-time basis and continuously retained throughout this project is:

   (Attach resume)

2. Employed by this firm:  _____ years

3. Present position/job function within firm: __________________________

4. Years in present position/job function:  _____ years \(\text{minimum: 10 years in position}\)

5. The Project Superintendent named above was assigned to the following comparable projects:

   Project:  
   Construction Cost: 

   a. _____________________________________________

   b. _____________________________________________

   c. _____________________________________________

6. The Project Superintendent named above worked on the following projects for which Project Data Sheets are submitted: (NOTE: IF THE ABOVE DESIGNATED INDIVIDUAL DID NOT WORK IN THIS CAPACITY ON AT LEAST TWO COMPARABLE PROJECTS FOR WHICH PROJECT DATA SHEETS WERE SUBMITTED IN SECTION 5.A, PROVIDE A PROJECT DATA SHEET FOR TWO OF THE PROJECTS LISTED IN RESPONSE TO B.5 ABOVE.)

   a. _____________________________________________

   b. _____________________________________________

   c. _____________________________________________
C. **FULL-TIME PRE-CONSTRUCTION MANAGER:**
   (Note: This individual will be required to attend the Level 2 interview)

   If the same person as the Project Manager, required experience is that of the Project Manager per Section 8.A.

   1. Same as Project Manager: **YES / NO**
      If YES, parts 1-6 below are NOT required to be filled out.

   2. The name of the specific Pre-Construction Manager to be committed to this project on a full-time basis and continuously retained throughout this project is:

      (Attach resume)

   3. Employed by this firm: ______ years

   4. Present position/job function within firm: __________________________

   5. Years in present position/job function: ______ years (minimum: 5 years in position)

   6. The Pre-Construction Manager named above was assigned to the following comparable projects:

      | Project | Construction Cost |
      |---------|-------------------|
      | a.      |                   |
      | b.      |                   |
      | c.      |                   |

   7. The Pre-Construction Manager named above worked on the following projects for which Project Data Sheets are submitted: (NOTE: IF THE ABOVE DESIGNATED INDIVIDUAL DID NOT WORK IN THIS CAPACITY ON THE PROJECT LISTED FOR SECTION 5.A, PROVIDE PROJECT DATA SHEETS FOR THE PROJECTS LISTED IN RESPONSE TO C.5 ABOVE)

      | Project | Construction Cost |
      |---------|-------------------|
      | a.      |                   |
      | b.      |                   |
      | c.      |                   |
D. FULL-TIME PROJECT ENGINEER:
(Note: It is optional for this individual to attend the Level 2 interview)
1. The name of the specific Project Engineer to be committed continuously to this project:

(Attach resume(s))

2. Employed by this firm: _____ years

3. Present position/job function within firm:

4. Years in present position/job function: _____ years (minimum: 3 years in position)

5. The Project Engineer named above were assigned to the following comparable projects:

<table>
<thead>
<tr>
<th>Project:</th>
<th>Construction Cost:</th>
</tr>
</thead>
<tbody>
<tr>
<td>a.</td>
<td></td>
</tr>
<tr>
<td>b.</td>
<td></td>
</tr>
<tr>
<td>c.</td>
<td></td>
</tr>
</tbody>
</table>

6. The Project Engineer named above worked on the following projects for which Project Data Sheets are submitted: (NOTE: IF THE ABOVE DESIGNATED INDIVIDUAL DID NOT WORK IN THIS CAPACITY ON THE PROJECT LISTED FOR SECTION 5.A, PROVIDE PROJECT DATA SHEETS FOR THE PROJECTS LISTED IN RESPONSE TO D.5 ABOVE)

| a.      |                   |
| b.      |                   |
| c.      |                   |
9. SAFETY PROGRAM

A. Does your firm have a written Injury and Illness Prevention Program (IIPP) that complies with California Code of Regulations, Title 8, Sections 1509 and 3203?
   YES □   NO □

B. Does your firm have a written safety program that meets CAL/OSHA requirements?
   YES □   NO □

C. Will your firm have personnel permanently assigned and dedicated to Safety on this project?
   YES □   NO □

D. If “Yes”, state the names of all such personnel who will be assigned and individually list their specific duties:

<table>
<thead>
<tr>
<th>Name, Title</th>
<th>Specific Duties</th>
</tr>
</thead>
<tbody>
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<td></td>
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<td></td>
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</tr>
</tbody>
</table>

   Attach resumes (include certification and safety related training received.)

E. Have you had accidents, which resulted in a construction fatality, on any of your projects within the last five (5) years?
   YES □   NO □   If yes, attach additional information.

E. Have you had Cal-OSHA fines in the Serious, Repeat or Willful categories?
   YES □   NO □   If yes, attach additional information.

G. Has your firm maintained a Workers’ Compensation Experience Modification Rate (EMR) that averages below 1.15 for the past five years?
   YES □   NO □   EMR: ______________

   Attach substantiating documentation.
10. QUALITY CONTROL/QUALITY ASSURANCE PROGRAM (QC/QA)

A. Does your firm have a written quality control/quality assurance program?

   YES ☐       NO ☐

   If YES, submit a copy of your QC/QA program with this submission.

B. Will your firm have personnel permanently assigned and dedicated to QC/QA on this project?

   YES ☐       NO ☐

   If “Yes”, state the names of all such personnel who will be assigned and individually list their specific duties:

   Name, Title                  Specific Duties
   __________________________________________________________
   __________________________________________________________
   __________________________________________________________

11. BUSINESS CONSTRUCTION REVENUE

   For the purposes of this Level 1 prequalification questionnaire, “business construction revenue” shall be defined as payments to prospective CM/Contractor for pre-construction services, construction management, and construction services.

   A. Can you truthfully state that your firm has had annual business construction revenue of at least $100 Million (excluding any and all legal awards) for each and every one of the last five (5) consecutive calendar years?

      YES ☐       NO ☐

   B. If the entity submitting this prequalification questionnaire is a Joint Venture, can the Joint Venture entity truthfully state that its members have had a combined annual business construction revenue of at least $100 million (excluding any and all legal awards) for each and every one of the last five (5) consecutive calendar years?

      YES ☐       NO ☐       N/A ☐
12. DISCIPLINARY MEASURES HISTORY

A. Can you truthfully state that your firm has not been disqualified or barred from doing business with a public agency (e.g., federal, state, county, city, University of California System, California State University System, etc.) within the last 10 years?

YES ☐ NO ☐

If NO, please explain: ____________________________________________________________
________________________________________________________________________________

1. If the entity submitting this prequalification questionnaire is a Joint Venture, can the Joint Venture entity truthfully state that no members of the Joint Venture have been disqualified or barred from doing business with a public agency (e.g., federal, state, county, city, University of California System, California State University System, etc.) within the last 10 years?

YES ☐ NO ☐ N/A ☐

If NO, please explain: ____________________________________________________________
________________________________________________________________________________

13. FALSE CLAIMS HISTORY

A. Can you truthfully state that your firm has not been found in a final decision of a court to have submitted a false claim to a public agency (e.g., federal, state, county, city, University of California System, California State University System, etc.) within the last 10 years?

YES ☐ NO ☐

If NO, explain: ____________________________________________________________
________________________________________________________________________________

1. If the entity submitting this Prequalification questionnaire is a Joint Venture, can the Joint Venture entity truthfully state that no member of the Joint Venture has been found in a final decision of a court to have submitted a false claim to a public agency (e.g., federal, state, county, city, University of California System, California State University System, etc.) within the last 10 years?

YES ☐ NO ☐ N/A ☐

If NO, explain: ____________________________________________________________
________________________________________________________________________________
14. ATTENDANCE AT NON-MANDATORY LEVEL 1 PREQUALIFICATION CONFERENCE

Did a representative of your firm attend the Non-Mandatory Level 1 Prequalification Conference conducted on February 4, 2015 at 9:00AM to 3:30PM, at Design+Construction offices?

YES □

NO □

Name(s) of those attending: _______________________________________________
<table>
<thead>
<tr>
<th>Attendee Name</th>
<th>Title</th>
<th>Organization</th>
<th>Phone</th>
<th>Email</th>
</tr>
</thead>
<tbody>
<tr>
<td>Nick Ruelas</td>
<td>Superintendent</td>
<td>McCarthy</td>
<td>415-716-9794</td>
<td><a href="mailto:nruelas@mcCarthy.com">nruelas@mcCarthy.com</a></td>
</tr>
<tr>
<td>Falco Digionardo</td>
<td>VP</td>
<td>Bernardos</td>
<td>809-420-7505</td>
<td><a href="mailto:FDIGI@BERNAROS.COM">FDIGI@BERNAROS.COM</a></td>
</tr>
<tr>
<td>Chuck Kuenker</td>
<td>VP</td>
<td>Vanir CM</td>
<td>916/761-7793</td>
<td><a href="mailto:chuck.kuenker@vanir.com">chuck.kuenker@vanir.com</a></td>
</tr>
<tr>
<td>Zach Banner</td>
<td>Proj Manager</td>
<td>Otto Construction</td>
<td>916 441-6870</td>
<td><a href="mailto:ZBanner@ottoconstruction.com">ZBanner@ottoconstruction.com</a></td>
</tr>
<tr>
<td>Russ Lagrow</td>
<td>PM</td>
<td>DPR</td>
<td>916 568 3434</td>
<td><a href="mailto:russ1@dpr.com">russ1@dpr.com</a></td>
</tr>
</tbody>
</table>
Level 2 Interview Requirements

Introduction:

The Bidders selected for the Level 2 Prequalification Interview will be notified in writing and will be given the date, time and location of their interview. The interview will be conducted by the entire Review Panel and will be structured as indicated below.

Presentation by Level 1 Prequalified Bidder:

Each entity selected for the interview will be required to make a presentation concerning their Prequalification Level 2 submittal. Bidder’s presentation team will address, at a minimum, the following areas:

1. Overall experience with construction of comparable projects that provides a basis for the determination that the Bidder is qualified to perform this Project. Expand upon the basic information provided in Level 1 to explain the nature of the past experience and how it relates to this Project. Provide specific examples from past projects that relate to or are similar in scope/complexity/design of the Project. Specifically address any projects where there were disputes/claims/delays and their resolution. Provide evidence of capability and effectiveness in the areas of (1) cost estimating during preconstruction in comparison with the ultimate construction cost, (2) constructibility review (3) value engineering and (4) plan coordination.

2. The reasons for selecting the key members of the Project team, their past experience and the specific benefits this team brings to the University and this Project.

3. Anticipated major/significant schedule problems and proposed solutions.

There may also be specific supplementary questions and requests for additional documentation related to the above in the interview notification.

At the conclusion of the presentation the members of the Review Panel will have an opportunity to ask questions of the Bidder. The questions are not necessarily limited to the items in the presentation but may cover any issue included in the Prequalification Questionnaire and any related subject. As one example, the references given and the projects listed in the responses will be verified and questions may arise as a result.

Bidder will be allotted time for Set-Up, Presentation, Q&A, and Breakdown for a total of 60 minutes:

10 min  Set-Up
25 min  Presentation
20 min  Q&A
 5 min  Breakdown
Bidder Team:

The following members of the Bidder team must be present for the Level 2 Prequalification Interview:

Full Time Project Manager
Full Time Superintendent
Full Time Preconstruction Manager

The following members of the Bidder team may be present at the Bidder's option for the Level 2 Prequalification Interview:

Project Executive
Full Time Project Engineer

Bidder is hereby notified that marketing and/or business development staff are not to be included in the Bidder's presentation team. Bidder is allowed a maximum of 5 members on the presentation team.

Presentation Room Considerations:

Bidder is responsible for bringing any audio/visual equipment necessary for presentation, including power cords. A projection screen will be provided by the University.

Bidder may assume that there will be 10 members of the Review Panel.

Determination for Level 2 Prequalification:

Of the Bidders interviewed, those receiving the top 3 scores will be allowed to submit a bid. Minimum allowable score is 135 out of a total of 220 points to be considered for the top 3.
LEVEL 2 INTERVIEW REQUIREMENTS
ENCLOSURE
KEY PERSONNEL

The following personnel have been committed to the Project by the CM/Contractor for the level of effort and contract phases indicated:

<table>
<thead>
<tr>
<th>Quantity</th>
<th>Title/Function</th>
<th>% Time Phase 1 – Preconstruction</th>
<th>% Time Phase 2 – Construction</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Project Manager:</td>
<td>50%</td>
<td>100%</td>
</tr>
<tr>
<td>1</td>
<td>Superintendent:</td>
<td>50%</td>
<td>100%</td>
</tr>
<tr>
<td>1</td>
<td>Project Engineer:</td>
<td>0%</td>
<td>100%</td>
</tr>
<tr>
<td>1</td>
<td>Preconstruction Manager:</td>
<td>100%</td>
<td>0%</td>
</tr>
</tbody>
</table>

The listed individuals have been reviewed by the undersigned on behalf of the named Bidder. Bidder hereby agrees that these individuals will be provided pursuant to the provisions of the contract, if awarded to Bidder, and that such contract will include this exhibit as completed above.

Name and Title

Date

Bidder