



Request for Prequalification

**Sustainability Research and Engineering Building
Laboratory & Office Relocation**

University of California, Merced

May 3, 2019

CONTENTS

PROJECT INFORMATION:

- Advertisement for Services
- Project Background & Description

PROFESSIONAL SERVICES:

- Scope of Services
- Qualifications
- Schedule
- Joint Venture/Associations

SELECTION PROCESS:

- Selection Criteria
- Selection Process
- Submittal Format

ATTACHMENTS:

- Prequalification Questionnaire
- Project Data Sheet Registration Form
- Exhibit A: Campus Locations Map
- Exhibit B: Other Locations Map

ADVERTISEMENT

REQUEST FOR PREQUALIFICATIONS PROFESSIONAL LABORATORY & ACADEMIC OFFICE RELOCATION

University of California, Merced

The University of California, Merced is soliciting prequalification's from professional laboratory & office moving firms interested in providing moving services.

The Request for prequalification's (RFQ), which sets forth general information regarding the scope of services, detailed questionnaire, the selection process, and other necessary information, is posted at the following website: <http://www.ucmerced.edu/rfp-rfq>.

A Request for Prequalification's (RFQ) form, Project Data Sheet, a registration form, and instructions for other requirements for the submittal also are included. The RFQ will be available Monday, May 6, 2019. One unbound copy and one USB flash drive of the submittal is required. All required documents must be delivered to the address below no later than **2:00 pm, May 17, 2019.**

Please address submittals to:

Via Us Mail:

Fran Telechea
Executive Director of Design & Construction
Design & Construction Management
University of California, Merced
5200 North Lake Road
Merced, CA 95343

Via Overnight Delivery:

Sara Anastos
Space Planning and Analysis
University of California, Merced
655 West 18th Street
Merced CA 95340

REQUEST FOR STATEMENTS OF QUALIFICATIONS FOR **Laboratory & Office Relocation**

Campus Background

The University of California, Merced, is the newest of the University of California system's 10 campuses and the first American research university built in the 21st century. With nearly 9,000 undergraduate and graduate students, UC Merced offers an environment that combines a commitment to diversity, inclusion, collaboration and professional development. With bachelor's, master's and doctoral degree programs, strong research and academic partnerships, and community involvement, the UC Merced campus is continually evolving and requires talented, knowledgeable and dynamic educators, researchers, management and staff.

Ranked among the best public universities in the nation by U.S. News and World Report, UC Merced is uniquely equipped to provide educational opportunities highly qualified students from the San Joaquin Valley and throughout California. The campus enjoys a special connection with nearby Yosemite National Park, is on the cutting edge of sustainability in construction and design, and supports the economic development of Merced and the region.

In Fall 2016, UC Merced broke ground on a \$1.3 billion public-private partnership that is unprecedented in higher education. The Merced 2020 Project will nearly double the physical capacity of the campus by 2020, enhancing academic distinction, student success and research excellence. In 2018, UC Merced opened the Downtown Campus Center, a \$33 million, three-story administrative building located in the heart of Merced.

Project Background and Description

General of Services

The University is seeking to contract with a professional Moving Company(s) to relocate research laboratories; wet and dry and academic offices from existing Campus Buildings to a new Sustainability Research and Engineering Building (SRE) within the 2020 project. Scope of work to be prequalified for: General Mover, Microscope Mover, Refrigeration Mover, Rigging of Equipment and Chemical Mover. Detail scopes of work and bidding documents will be available to those who prequalify. The table below references the quantity and square footage of the offices and labs that are moving to the new buildings.

Office and Labs Counts	SRE			
	Offices		Labs	
	ASF	Qty	ASF	Qty
Science & Engineering 1 (SE1)	2864	24	13355	13
Science & Engineering 2 (SE2)	2860	23	7052	16
Castle Research Facility Building 1200	250	5	10419	5
Downtown Campus Center (DCC)	130	2		
Classroom and Office Building (COB1)	1399	19		
Academic Office Annex (AOA)	440	4		
Total	7943	77	30826	34
ASF refers to assignable square feet				

Please refer to exhibit A for campus locations map and exhibit B for other locations map for reference. The campus locations map identifies the origin buildings in blue and the destination building in yellow. The other locations map identifies the off-campus origin locations.

Qualifications

Included is a Prequalification Questionnaire which is required to be completed and submitted in order to be considered to bid on the actual work. The Prequalification Questionnaire is for all scopes of work and the submitting company is required to identify which scope they are submitting for.

Schedule

The moves will occur over the course of a year in 3 phases.

Phase 1: Summer 2019

From SE1, SE2, Castle Research Facility and Downtown Campus Center to Sustainability Research and Engineering on Campus, building basement and first floor moves.

Phase II: Winter 2019

From SE1, SE2, COB1, AOA and Castle Research Facility to Sustainability Research and Engineering on Campus, second, third and fourth floor.

Joint Ventures/Associations

By campus policy, proposals for joint or associated ventures will not be considered for this project. If two firms wish to combine in a project team, one firm must be proposed as a sub-consultant to the other.

Selection Criteria - RFQ

Bidders that affirmatively respond (i.e. answer YES) to all questions requiring a “yes” or “no”, submit all required information and supporting data, AND are determined to have accurately responded to the questions will have achieved Prequalification status. All Bidders that have submitted a Prequalification Questionnaire will be notified in writing of whether or not they have successfully achieved Prequalification status. Only those Bidders that have successfully achieved Prequalification status will be eligible to submit for this Project.

Selection Process

This Request for Prequalification and attachments will be available at:

<http://www.ucmerced.edu/rfp-rfq> Monday, May 6, 2019. Prequalification submission should be in accordance with the Format document provided. Submittals must be delivered to the address below no later than **2:00 pm, Friday, May 17, 2019**. One un-bound copy and one USB flash drive will be required. In addition, please fill out the attached non-Request for Prequalification
May 3,2019

SRE Laboratory & Office Relocation

mandatory Registration Form and email it to Fran Telechea, ftelechea@ucmerced.edu no later than 3:00 pm, Wednesday, May 15, 2019. The University will use the Registration Form to notify firms of any changes or updates. Firms that submit the Registration form will receive notification if any changes are made to the RFQ or schedule. The University reserves the right to make changes and adjustments to this Request for Statements of Qualifications at any time. Please watch the website for any updates. Failure to register with the University may result in firms not receiving notification of updates to the RFQ, should any be issued. The University shall not be responsible for a firm's failure to incorporate any updates that are issued.

Please address submittals to:

Via Us Mail:

Fran Telechea
Executive Director of Design & Construction
Design & Construction Management
University of California, Merced
5200 North Lake Road
Merced, CA 95343

Via Overnight Delivery:

Sara Anastos
Space Planning and Analysis
University of California, Merced
655 West 18th Street
Merced CA 95340

Note: UC Merced's internal mail is not delivered daily so it is recommended that the proposal be delivered via overnight or hand delivered to the overnight location to insure meeting the deadline.

The University will convene a Screening Committee to review submissions and select a firm. The Screening Committee anticipates notifying all firms the selection outcome in mid-May 2019.

The University reserves the right to request any further documentation that it deems appropriate and necessary for the screening and selection process. Please direct any questions to Fran Telechea, Director of Construction at ftelechea@ucmerced.edu.

Every effort will be made to ensure that all persons have equal access to contracts and other business opportunities with the University within the limits imposed by law and University policy.

UNIVERSITY OF CALIFORNIA, MERCED
PRIVACY NOTIFICATION

The State of California Information Practices Act of 1977 requires the University to provide the following information to individuals who are asked to supply information about themselves:

The principal purpose for requesting the information on this form is for use in the selection process for Professionals commissioned by the University. University Policy authorizes maintenance of this information.

Furnishing all information requested on this form is mandatory—failure to provide such information will delay or may even prevent completion of the action for which the form is being filled out. Information furnished on this form will be used by Design & Construction in the screening and selection process for awarding commissions to a Professional.

Individuals have the right to access this record as it pertains to themselves.

The official responsible for maintaining the information contained on this form is the Vice Chancellor/Chief Operating Office for the Merced campus of the University of California.

DECLARATION

The undersigned declares under penalty of perjury that all of the information submitted is true and correct and that this declaration was executed in

_____ County, California, on _____ (date)

(Name and Title – Printed or Typed)

Signature

Firm Name

(Address)

(City, State, Zip)

(Telephone Number)

(Email Address)

UNIVERSITY OF CALIFORNIA, MERCED

**REGISTRATION FOR SUBMITTAL OF PREQUALIFICATIONS FOR
PROFFESIONAL LABORATORY & ACADEMIC OFFICE RELOCATION**

***Instructions:** Firms intending to submit prequalification questionnaire for Professional Laboratory & Academic Office mover on the UC Merced campus must complete the form below and email it to Fran Telechea at ftelechea@ucmerced.edu. **no later than 1:00 p.m. on Wednesday, May 15, 2019.** The University will send an acknowledgment of receipt by return email. Failure to register with the University by this date may result in firms not receiving updates to the Request for Prequalification Questionnaires (RFQ), should any be issued. The University shall not be responsible for a firm's failure to register for updates or to incorporate any updates that are issued, or for incorrect contact information on this registration form.*

Firm Name: _____

Business Address: _____

Street Address (for deliveries): _____

Telephone Number: _____

E-mail: _____

Principal-in-Charge (print name): _____

Principal-in-Charge (signature): _____

Date: _____

For University Use Only

Received by UC Merced Design& Construction:

Name

Date

PREQUALIFICATION QUESTIONNAIRE
For
SRE LABORATORY & OFFICE RELOCATION

As used herein, the term "entity" means the prospective Bidder submitting this Prequalification Questionnaire regardless of whether the entity is an individual company, joint venture, or partnership. Please note that the term "prospective Bidder" may sometimes be used interchangeably with the term "entity."

SUBMITTED BY:

(Entity Name. If a Joint Venture, state name of JV Entity)

(Contact Name)

(Address)

(City, State, Zip Code)

(Telephone Number)

(Facsimile Number)

(E-mail)

All other information submitted for Prequalification evaluation will be considered official information acquired in confidence, and the University will maintain its confidentiality to the extent permitted by law.

WHERE NECESSARY, COPY THE FORMS IN THIS PACKAGE. USE ONLY THESE FORMS.

Each prospective Bidder must answer all of the following questions and provide all requested information. Any prospective Bidder failing to do so will be deemed to be not responsive and not prequalified with respect to this Prequalification. Bidders that affirmatively respond (i.e. answer YES) to all questions requiring a “yes” or “no”, submit all required information and supporting data, AND are determined to have accurately responded to the questions will have achieved **Prequalification** status. All Bidders that have submitted a Prequalification Questionnaire will be notified in writing of whether or not they have successfully achieved Prequalification status. **Only those Bidders that have successfully achieved Prequalification status will be eligible to submit for this Project.**

If the prospective Bidder is determined by the University not to be prequalified, the prospective Bidder may request a review by the Facility. Any such request must be received by the Facility within 3 calendar days after receipt by the prospective Bidder of the determination. The decision resulting from such review is final and is not appealable within the University of California. Any person or entity not satisfied with the outcome of the prequalification must file a writ challenging the outcome within 10 calendar days from the date of the University's written notice regarding prequalification determination. Any assertion that the outcome of the prequalification process was improper will not be a ground for a bid protest.

All information submitted for prequalification evaluation in response to sections 8 and 13 and marked as “confidential” will be considered official information acquired in confidence, and the University of California will maintain its confidentiality unless (1) the University determines that it is required to release the information to a third party pursuant to the requirements of the California Public Records Act or (2) the University is required by court order to release the information to a third party pursuant to the requirements of the California Public Records Act. In the event that the University receives a request pursuant to the California Public Records Act and the University determines that it is required to disclose information marked “confidential” by the provisions of the California Public Records Act, the University will notify the prospective bidder of the pending disclosure at least 72 hours prior to such disclosure so that the prospective Bidder may seek a restraining order in advance of such disclosure. The University shall err on the side of transparency and will generally treat information provided by the prospective bidder that is not marked “confidential” as subject to disclosure pursuant to the California Public Records Act. Likewise, any decision by the University that any document is subject to disclosure pursuant to the California Public Records Act shall not prevent the University from making a subsequent determination that any document is not subject to disclosure pursuant to the California Public Records Act.

1. **LICENSE(S) AND REGISTRATION**

A. Does the entity hold the following California contractor's license(s), which is(are) current active, and in good standing with the California Contractor's State License Board?

No.	Scope	License/Certification C61 or D-34 or Other	YES <input type="checkbox"/>	NO <input type="checkbox"/>
1.01	General Mover			
1.02	Microscope Mover			
1.03	Refrigeration Mover			
1.04	Rigging			
1.05	Chemical Mover			
1.06	General Labor			

(NOTE -The entity submitting this prequalification questionnaire must be the holder of the requisite license. If the entity submitting is a Joint Venture, the joint venture must hold the license or have applied for the license(s).

B. If yes, provide the following information about the entity's license/certification:

1. Name of license holder exactly as on file with the California Contractor's State License Board:

2. License Classification(s): _____
3. License Code(s): _____
4. License Number(s): _____
5. Date(s) Issued: _____
6. Expiration Date(s): _____

C. Can you truthfully state that the entity's contractor's license has not been suspended or revoked by the California Contractor's State License Board within the last 5 years?

YES NO

D. Are the Contractor and all Subcontractors, regardless of tier, currently registered with the California Department of Industrial Relations pursuant to California Labor Code Section 1725.5 and 1771.1, or will Contractor and all Subcontractors be registered at time of submission?

YES NO

2. SURETY

Prospective Bidder shall obtain and submit the Surety Declaration in the form shown below, signed by an authorized representative of the surety proposed to be used for this project and notarized.

A. Is the surety to be used for this project authorized by the Insurance Commissioner to transact business in the State of California as an admitted surety insurer (as defined in the California Code of Civil Procedure Section 995.120)?

YES NO

B. Is the entity able to obtain bonding for ~\$1,000,000?

YES NO

C. Can the entity truthfully state that **no** surety has paid out any monies on claims on the performance bond issued by a surety for the benefit of the Owner arising out of the construction activities of the entity within the last 5 years?

YES NO

D. Can the entity truthfully state that **no** surety has paid out any monies on claims on the payment bond issued by a surety for the benefit of the Owner arising out of the construction activities of the entity within the last 5 years?

YES NO

D. Surety Declaration:

PROVIDE THIS DECLARATION TO YOUR SURETY (IES) FOR COMPLETION. DO NOT HAVE THE SURETY SUBMIT THIS INFORMATION DIRECTLY TO THE UNIVERSITY.

The undersigned declares under penalty of perjury that the bonding capacity indicated above is true and correct and that this declaration was executed in

_____ (County), _____, (State)

on _____ (Date).

(Signature)

(Name and Title - Printed or Typed)

(Representing [Surety Name])

(Entity Name)

(Address)

(City, State, Zip Code)

(Telephone Number)

(Facsimile Number)

(E-mail)

(ATTACH NOTARIZATION of SURETY REPRESENTATIVE'S SIGNATURE)

3. INSURER

Prospective Bidder shall obtain and submit the Insurance Declaration in the form shown below, signed by an authorized representative of its insurer and notarized. (If more than one insurer, submit a completed form for each insurer).

- A. Is the insurer listed below to be used for all required insurance (except Workers Compensation) listed by Best with a rating of A- or better, and a financial classification of VII or better (or an equivalent rating by Standard & Poor or Moody's)?

YES NO

Indicate Best Rating: _____

Indicate Best Financial Classification: _____

(or provide Standard & Poor Or Moody's rating)

- B. Is the insurer to be used for Workers Compensation insurance listed by Best with a rating of B+ or better, and also have a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's)?

YES NO

Indicate Best Rating: _____

Indicate Best Financial Classification: _____

(or provide Standard & Poor Or Moody's rating)

If answer is NO, provide name and address of insurer:

- C. Is the prospective Proposer able to obtain insurance in the following limits for this scope of work?

YES NO

1. If the entity submitting this prequalification questionnaire is a Joint Venture, can the Joint Venture entity itself obtain insurance in the following limits for this scope of work?

YES NO NOT APPLICABLE

<u>Commercial Form General Liability Insurance* - Limits of Liability</u>	<u>Minimum Requirement</u>
Each Occurrence - Combined Single Limit for Bodily Injury and Property Damage	\$2,000,000
Products - Completed Operations Aggregate	\$4,000,000
Personal and Advertising Injury	\$2,000,000
General Aggregate	\$4,000,000
<u>Business Automobile Liability Insurance* - Limits of Liability</u>	<u>Minimum Requirement</u>
Each Accident - Combined Single Limit for Bodily Injury and Property Damage	\$1,000,000
<u>Workers Compensation and Employer's Liability Insurance**</u>	<u>Minimum Requirement</u>
Workers Compensation:	(as required by Federal and State of California law)
Employer's Liability:	
Each Employee	\$1,000,000
Each Accident	\$1,000,000
Each Policy	\$1,000,000

*This insurance must be (i) issued by companies with a Best rating of A- or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's) or (ii) guaranteed, under terms consented to by the University (such consent to not be unreasonably withheld), by companies with a Best rating of A- or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's). Further, the deductible, or retained limit, for each coverage shall not be more than \$100,000.

**This insurance must be issued by companies (i) that have a Best rating of B+ or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's); or (ii) that are acceptable to the University.

Insurance Declaration:

PROVIDE THIS DECLARATION TO YOUR INSURANCE CARRIER FOR COMPLETION. DO NOT HAVE THE CARRIER SUBMIT THIS DECLARATION DIRECTLY TO THE UNIVERSITY.

The undersigned declares under penalty of perjury that below named insurer is currently willing to provide the insurance listed above and that this declaration was executed in

_____ (County), _____, (State)

on _____ (Date).

(Signature)

(Name and Title - Printed or Typed)

(Representing [Insurer Name])

(Entity Name)

(Address)

(City, State, Zip Code)

(Telephone Number)

(Facsimile Number)

(E-mail)

(ATTACH NOTARIZATION of INSURER REPRESENTATIVE'S SIGNATURE)

4. RELOCATION EXPERIENCE (IN COMPARABLE PROJECTS)

Has the entity successfully **completed** at least 5 comparable projects within the last 7 years, all of which were constructed in the United States of America and 3 of which was constructed in the State of California?

YES NO

A. Subject to the above qualifications, a “comparable project” is defined as having ALL of the following:

1. A construction cost at the bid date of at least \$200,000; AND
At least one (1) of the following locations:
 - a. Active University of California Campus
 - b. Active Higher Education Campus (excluding Junior Colleges)
 - c. Comparable environment: Private laboratories and hospitals
2. ALL of the following challenges:
 - a. Wet / Dry Laboratory equipment and materials
 - b. Relocation from multiple occupied buildings in various locations
 - c. Relocation to a building within an active construction site
3. Delivery method(s): Lump Sum, Guarantee Maximum, or Time & Material not to exceed.
4. Highly preferred challenges; additional consideration for the following attributes on one of the above comparable projects*:
 - a. Limited number of elevators, weight and size capacity
 - b. Campus logistics
 - c. Multi-phase schedule
5. Constructed by the entity submitting this Prequalification Questionnaire. (Note: Projects completed by present employees of the Bidder for former employers are **not acceptable**.)
6. All of the projects must be characterized by the following:
 - a. University of California work
 - b.

B. An entity wishing to use a predecessor business to satisfy prequalification requirements must demonstrate with written information submitted with this Prequalification Questionnaire that it

is substantially the same organization (in terms of who is managing Bidder) as the predecessor business. An entity may meet the requirement of the preceding sentence by demonstrating that the same person is the qualifying individual (under California Contractor's License Law) for:

1. Contractor's license of Bidder which shall be the same type as license required for the Contract; and
2. Contractor's license of predecessor business which shall also be the same type as the license required for the Contract.

COMPLETE AND SUBMIT THE FOLLOWING PROJECT DATA SHEET FOR EACH COMPARABLE PROJECT SUBMITTED AS EVIDENCE OF THE ENTITY'S EXPERIENCE. SUBMIT NOT MORE OR LESS THAN THE NUMBER PROJECT DATA SHEETS CORRESPONDING TO THE REQUIRED NUMBER OF COMPARABLE PROJECTS LISTED ABOVE.

PROJECT DATA SHEET

(A separate sheet must be prepared for each project submitted.)

1. Project Name: _____
2. Project Location (including full address, if any):

City: _____ State: _____ Zip: _____
3. Project Description: _____
5. Size (gross sq. ft.): _____
7. Did your entity act as the Lead Mover during the entire project?
YES NO
8. Cost at Bid: \$ _____
9. Was the relocation begun and completed within the last 5 years?
YES NO
10. Project Owner Name: _____
11. Project Owner Address: _____
City: _____ State: _____ Zip: _____
(Telephone Number) _____ (Facsimile Number) _____
E-mail Address-optional: _____
13. Delivery method: Did the project use one of the delivery method(s) listed in Question 4(A) (3)?
YES NO
14. Was the project characterized by the item(s) listed in Question 4(A)(5)
YES NO

(Attach additional pages with other pertinent project information as necessary.)

5. STAFF EXPERIENCE

Have the Project Manager, and Lead Mover personnel successfully *completed* at least 3 comparable projects, as defined in Question 5.

A. PROJECT MANAGER:

1. The name of the Project Manager to be committed to this project and continuously retained throughout this project is:

(Attach resume)

2. Present position/job function within entity: _____

3. The Project Manager named above was assigned to the following comparable projects:

Project:

Relocation Cost:

- a. _____
b. _____

4. The Project Manager named above worked on the following projects for which Project Data Sheets are submitted: (NOTE: IF THE ABOVE DESIGNATED INDIVIDUAL DID NOT WORK IN THIS CAPACITY ON AT LEAST 2 COMPARABLE PROJECTS FOR WHICH PROJECT DATA SHEETS WERE SUBMITTED, PROVIDE A PROJECT DATA SHEET FOR 2 OF THE PROJECTS LISTED IN RESPONSE TO A.3 ABOVE.)

- a. _____
b. _____

B. FULL-TIME LEAD MOVER:

1. The name of the Lead Mover to be committed to this project on a full-time basis and continuously retained throughout this project is:

(Attach resume)

2. Present position/job function within entity: _____

3. The Lead Mover named above was assigned to the following comparable projects:

Project:

Relocation Cost:

- a. _____
b. _____

4. The Lead Mover named above worked on the following projects for which Project Data Sheets are submitted: (NOTE: IF THE ABOVE DESIGNATED INDIVIDUAL DID NOT WORK IN THIS CAPACITY ON AT LEAST 1 COMPARABLE PROJECTS FOR WHICH PROJECT DATA SHEETS WERE SUBMITTED, PROVIDE A PROJECT DATA SHEET FOR 1 OF THE PROJECTS LISTED IN RESPONSE TO B.3 ABOVE.)

- a. _____
- b. _____

6. SAFETY PROGRAM

A. Does the entity have a written Injury and Illness Prevention Program (IIPP) that complies with Title 8 of the California Code of Regulations?

YES NO

B. Does the entity have a written safety program that meets CAL/OSHA requirements?

YES NO

C. Will the entity have personnel permanently assigned and dedicated to Safety on this project?

YES NO

D. Is the entity's Experience Modification Rate (EMR) less 1.5 for each of the past 3 premium years?

YES NO

Year: _____ EMR: _____

Year: _____ EMR: _____

Year: _____ EMR: _____

Attach verification of EMR from State of California or from insurance company.

E. The entity HAS NOT had any Cal-OSHA fines in the Serious, Repeat or Willful categories within the past 10 years?

YES NO

7. QUALITY CONTROL/QUALITY ASSURANCE PROGRAM (QC/QA)

A. Does the entity have a written quality control/quality assurance program?

YES NO

If YES, submit a copy of your QC/QA program with this submission.

B. Will the entity have personnel permanently assigned and dedicated to QC/QA on this project?

YES NO

8. BUSINESS REVENUE

For the purposes of this Prequalification Questionnaire, "business revenue" shall be defined as payments to entity for relocation services.

Can you truthfully state that the entity has had an annual business construction revenue of at least \$500,000 for each and every one of the last 5 consecutive calendar years?

YES NO

9. LIQUIDATED DAMAGES

A. In the last five years, the entity HAS NOT been assessed liquidated damages of more than \$ 1,000 on a relocation contract with either a public or private owner?

Yes No

10. DISCIPLINARY MEASURES HISTORY

A. Can you truthfully state that the entity (nor any member of the entity if a joint venture or partnership) has (under its current name or under any other alias) not been disqualified or otherwise barred from doing business with a public agency (e.g., federal, state, county, city, University of California System, California State University System, school district,) within the last 10 years?

YES NO

11. FALSE CLAIMS HISTORY

A. Can you truthfully state that the entity (nor any member of the entity if a joint venture or partnership) has not been found in a final decision of a court to have submitted a false claim to a public agency (e.g., federal, state, county, city, University of California System, California State University System,) within the last 10 years?

YES NO

12. TERMINATION

A. Can you truthfully state that the entity (nor any member of the entity if a joint venture or partnership) HAS NOT been terminated for cause by an Owner after work commenced within the last 5 years?

YES NO

13. FALSE CLAIMS HISTORY

A. Each prospective Bidder will be evaluated to determine if the prospective Bidder and/or persons or entities associated with prospective Bidder have a history of having numerations claims asserted by or on their behalf in litigation or arbitration and/or of having had meritorious design or construction claims asserted against them in litigation or arbitration. In order to be evaluated, each prospective Bidder must complete the Claims History portion of this questionnaire.

B. Can you truthfully state that your firm has not been found in a final decision of a court to have submitted a false claim to a public agency (e.g., federal, state, county, city, University of California System, California State University System, etc.) within the last 10 years?

YES NO

If NO, explain: _____

1. If the entity submitting this Prequalification questionnaire is a Joint Venture, can the Joint Venture entity truthfully state that no member of the Joint Venture has been found in a final decision of a court to have submitted a false claim to a public agency (e.g., federal, state, county, city, University of California System, California State University System, etc.) within the last 10 years?

YES NO

If NO, explain: _____

- C. Can entity truthfully state that the entity has **not** been non-prequalified, in part or in whole, within the past five (5) years, for failure to provide requested information regarding past litigation or arbitration history?

YES NO

- D. Lawsuits and Arbitrations **by** Entity:

Can entity truthfully state that, within the past 5 years, the entity has **not** been a party to any lawsuits or arbitrations, where the total amount of Claims (including Pass-Through Claims) asserted **by or on behalf of** the entity exceeded \$50,000?

YES NO

If no, how many? _____

For each such claim, complete a copy of Claim Data Sheet and attach it to the entity's prequalification questionnaire.

- E. Lawsuits and Arbitrations **Against** Entity:

Can entity truthfully state that, within the past 5 years, the entity has **not** been a party to any lawsuits or arbitrations where the total amount of Claims (including Pass-Through Claims, and claims for indemnity or contribution) **against** the entity exceeded \$50,000?

YES NO

If no, how many? _____

For each such claim, complete a copy of Claim Data Sheet and attach it to the entity's prequalification questionnaire.

CLAIM DATA SHEET

(A separate data sheet must be prepared for each Lawsuit or Arbitration as required above. If the claims were made against the entity and were resolved for more than 50% of the highest amount sought, state why the claims should not be considered meritorious design or construction claims asserted against prospective Bidder and/or persons or entities associated with prospective Bidder:

(Make Copies of the CLAIM DATA SHEET as Needed.)

Case Name and Number including Name and Location of Court or Arbitration Service:

Date Arbitration or Litigation Commenced: _____

Project or Contract Number: _____

Project Name: _____

Project or Contract Number: _____

Project Location: _____ City) _____ (State)

Name of Owner: _____

Contact Person and Title: _____

Owner's Telephone Number: _____

Description of Claims: _____

Highest Amount Sought For All Claims: \$ _____ (Amount in Figures)

Amount Recovered: \$ _____ (Amount in Figures)

Date of Claim Resolution: _____

Method of Resolution (check one):

Judgment Arbitration Award Settlement

Other – Describe: _____

entity

PREQUALIFICATION DECLARATION

I, _____ (Printed Name),
hereby declare that I am the _____ (Title)
of _____ (Name of Entity)
submitting this Prequalification Questionnaire; that I am duly authorized to sign this
Prequalification Questionnaire on behalf of the above named entity; and that all information
set forth in this Prequalification Questionnaire and all attachments hereto are, to the best
of my knowledge, true, accurate and complete as of its submission date.

The undersigned declares under penalty of perjury that all of the prequalification information
submitted with this form is true and correct and that this declaration was executed in
_____ (County), _____ (State) on _____ (Date).

(Signature)

(Printed Name)

(Address)

(City, State, Zip Code)

_____ (Telephone Number) _____ (Facsimile Number)

(E-mail - optional)



Exhibit B: Other Locations

1. Castle Research Facilities Building 1200, 4225 N. Hospital Road, Atwater, CA 95340
2. Downtown Campus Center, 655 W. 18th Street, Merced CA
3. Olive Warehouse, 1985 Olive Avenue, Merced CA 95340



Each number shown on map identifies the address noted above