Downtown Center Project No.: 900320

Project Name: UNIVERSITY OF CALIFORNIA, MERCED

DOWNTOWN CENTER

Project No: 900320

ADDENDUM NO. 3

To the PREQUALIFICATION DOCUMENTS **February 9, 2015**

I. Potential Bidder acknowledges that is the Bidder's responsibility to ascertain whether any Addenda have been issued and if so, to obtain copies of such Addenda. Potential Bidder therefore agrees to be bound by all Addenda that have been issued for this prequalification.

The following changes, additions, or deletions shall be made to the following documents as indicated and all other Prequalification Documents shall remain the same.

II. CHANGES TO DOCUMENTS

A. <u>LEVEL 1 PREQUALIFICATION QUESTIONNAIRE</u>

- i. **Revise** Question 11.A:
 - 1. Can you truthfully state that your firm has had annual business construction revenue of at least \$100 Million \$60 Million (excluding any and all legal awards) for each and every one of the last five (5) consecutive calendar years?

UNIVERSITY OF CALIFORNIA, MERCED

By: University of California, Merced University's Representative

Michael Chow Director of Design

LEVEL 1 PREQUALIFICATION QUESTIONNAIRE For DOWNTOWN CENTER (DWTWN CNTR)

Each prospective CM/Contractor must answer all of the following questions and provide all requested information (two (2) copies, one (1) being the original). Any prospective CM/Contractor failing to do so may be deemed to be not responsive and not prequalified with respect to this Prequalification at the sole discretion of the University. All CM/Contractors that have submitted a Level 1 Prequalification Questionnaire will be notified in writing of whether or not they have successfully achieved Level 1 Prequalification status. Only those CM/Contractors that have successfully achieved Level 1 Prequalification status will be permitted to participate in the Level 2 Prequalification process. Those CM/Contractors that successfully achieve Level 2 Prequalification status will be determined to be prequalified and only those prequalified CM/Contractors will be eligible to submit a bid for this Project.

If the bidder can refute some of the facts upon which the above determinations were based, the bidder may request a review by the Facility. The decision resulting from such review is final and is not appealable within the University of California.

To be considered Level 1 Prequalified, prospective CM/Contractor must **pass ALL pass/fail** requirements AND score a **minimum 22 points** on preferred items as identified within the questionnaire.

SUBMITTED BY:		
	(Nam	ne and Title) Printed or Typed
		(Signature)
	(Firm Name. If a	a Joint Venture, state name of JV Entity)
		(Contact Name)
		(Address)
		(City, State, Zip Code)
	(Telephone Number)	(Facsimile Number)
		-mail Address)

All information submitted for Prequalification evaluation will be considered official information acquired in confidence, and the University will maintain its confidentiality to the extent permitted by law.

WHERE NECESSARY, COPY THE FORMS IN THIS PACKAGE. USE ONLY THESE FORMS.

1.

PREQUALIFICATION DECLARATION	
I,(Printed Name)	, hereby declare that I am the
	of
(Title)	of (Name of Firm)
Questionnaire on behalf of the above named for Questionnaire and all attachments hereto are, to its submission date.	; that I am duly authorized to sign this Prequalification irm; and that all information set forth in this Prequalification the best of my knowledge, true, accurate and complete as o jury that all of the prequalification information submitted with ation was executed in
(Count	y),, (State)
on (Date)	
	(Signature)

2. LICENSE

A.		es your firm hold one of the following California contractor's license, which is current, valid, and in distanding with the California Contractor's State License Board?		
		License Classification: GENERAL CONTRACTOR License Code: B		
		YES		
		NO		
	licen	TE -The entity submitting this prequalification questionnaire must be the holder of the requisite se. If the entity submitting is a Joint Venture, the joint venture must hold the license. If the entity nitting is a partnership, the partnership must hold the license)		
В.	If yes	s, provide the following information about your firm's contractor's license:		
	1.	Name of license holder exactly as on file with the California Contractor's State License Board		
	2.	License Classification:		
	3.	License Code:		
	4.	License Number:		
	5.	Date Issued:		
	6.	Expiration Date:		
C.		you truthfully state that your firm's contractor's license has not been suspended or revoked by the ornia Contractor's State License Board within the last 5 years?		
		YES NO NO		
D.	Joint has I	e entity submitting this prequalification questionnaire is a Joint Venture or partnership, can the Venture or partnership entity truthfully state that no member of the Joint Venture or partnership had their firm's contractor's license suspended or revoked by the California Contractor's State use Board within the last 5 years?		
		YES NO NO N/A		

SURETY

Prospective CM/Contractor shall obtain and submit the Surety Declaration in the form shown below, signed by an authorized representative of the surety proposed to be used for this project and notarized. (NOTE -If firm has used current surety for less than ten years, list all surety(ies) previously used and indicate the number of years such sureties were used for the last ten (10) years. If entity submitting this prequalification document is a joint venture or a partnership, provide such information for each member or partner).

A.		urety to be used for this prance in the State of Cali	•	d by the Insurance Commissione	r to transact business
		YES 🗌	NO 🗌		
B.		rospective CM/Contracto t Sum Phase 1 (~\$22,00		bonding for the Anticipated Con	ntract Value less the
		YES 🗌	NO 🗌		
C.	benefit			on the performance bond issue tion activities of the prospective (
		YES 🗌	NO 🗌		
	1.	paid out any monies on	claims on the p of the constructio	tion questionnaire is a Joint Ver erformance bond issued by a su in activities of any member of the	rety for the benefit of
		YES 🗌	NO 🗌	NOT APPLICABLE	

D. Surety Declaration:

PROVIDE THIS DECLARATION TO YOUR SURETY(IES) FOR COMPLETION. DO NOT HAVE THE SURETY SUBMIT THIS INFORMATION DIRECTLY TO THE UNIVERSITY.

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(ATTACH NOTARIZATION of SURETY REPRESENTATIVE'S SIGNATURE)

4. INSURER

Prospective CM/Contractor shall obtain and submit the Insurance Declaration in the form shown below, signed by an authorized representative of its insurer and notarized. (If more than one insurer, submit a completed form for each insurer).

A.	listed b		f A- or better, a	equired insurance (except W nd a financial classification y's)?	
		YES 🗌	NO 🗌		
	Indicat	e Best Rating:			
		e Best Financial Classif vide Standard & Poor o)	
B.	better,			sation insurance listed by Be of VIII or better (or an equiva	
		YES 🗌	NO 🗌		
	Indicat	e Best Rating:			
		e Best Financial Classif vide Standard & Poor o)	
	If answ	ver is NO, provide name	and address of	insurer:	
C.	Is the p	•	tor able to obtair	insurance in the following lir	nits for this construction
		YES 🗌	NO 🗌		
	1.			ation questionnaire is a Joint on the following limits for this o	
		YES 🗌	NO 🗌	NOT APPLICABLE	
Each C Produc Person	occurrents: cts - Con	npleted Operations Agg Advertising Injury	imit for Bodily In	of Liability Mini jury and Property Damage	mum Requirement \$2,000.000 \$4,000,000 \$2,000,000 \$4,000,000
		mobile Liability Insurand - Combined Single Limi		<u>pility</u> y and Property Damage	\$1,000,000

D. Insurance Declaration:

PROVIDE THIS DECLARATION TO YOUR INSURANCE CARRIER FOR COMPLETION. DO NOT HAVE THE CARRIER SUBMIT THIS DECLARATION DIRECTLY TO THE UNIVERSITY.

(ATTACH NOTARIZATION of INSURER REPRESENTATIVE'S SIGNATURE)

5. CONSTRUCTION EXPERIENCE (IN COMPARABLE PROJECTS)

Your firm must have successfully completed at least **3 comparable projects** within the last **7 years**, all of which were constructed in the **State of California**. Maximum of **5** projects may be submitted.

- A. Subject to the above qualifications, a comparable project is defined as having **ALL** of the following:
 - 1. a construction cost at the bid date of at least \$16,000,000; AND

at least one (1) of the following locations:

- a. Active University of California Campus
- b. Active Higher Education Campus (excluding Junior Colleges)
- c. Active Downtown Area Add.02
- 2. ALL of the following construction challenges:
 - New office building construction New construction of office building, conference center, classroom building and/or dry laboratory for a public entity^{Add02}.
 - b. Minimum 4-stories 3-stories Add02
 - c. Minimum 50,000 gsf
- 3. Highly preferred construction challenges; additional points awarded for the following attributes on any^{Add02} one of the 3-5^{Add02} above comparable projects*:
 - a. New office building with mixed use first floor (5pts)
 - b. High density office building, maximum 150sqft/occupant (5pts)
 - c. Fast Track Design with multiple Bid Packages (5pts)
 - d. Utilization of Design Assist MEP Subcontractors (5pts)
 - e. Project Team (PM, Superintendent and PE) is the same as Proposed Downtown Center Team (5pts)
 - f. Construction in active downtown city area Building Owner was University of California or other higher education entity (excluding Junior Colleges)^{Add02} (3pts)
 - g. Coordination and submission of successful Integrated Exterior Skin Shop Drawing(2pts)

*Firm to submit substantiating documentation for all claimed preferred construction challenges. If substantiating documentation is not provided, points will not be awarded.

B. Your firm must have successfully completed at least one (1) of the above comparable projects utilizing one of the following Project Contracting Methods:

a. **CM/Contractor** (CM at Risk) providing BOTH pre-construction services and acting as General Contractor during the construction phase on the same project.

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- b. **Design/Builder** providing BOTH pre-construction services and acting as General Contractor during the construction phase on the same project (**5pts**).
- C. If the entity submitting this prequalification questionnaire is a Joint Venture, the Joint Venture entity itself must demonstrate the required previous construction experience as a joint venture. Joint Venture teams newly formed to pursue this prequalification opportunity are not eligible for Level 1 prequalification.
- D. Listed projects must have been managed and constructed by the business entity submitted for Level 1 prequalification. Projects completed by present employees of the contractor for former employers are not acceptable.

CURRENT PROJECTS FOR THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Complete and submit the following *Past and Present Projects for The Regents of the University of California Project Data Sheet* for each past project (regardless of project contracting method) between your firm and The Regents of the University of California for the last 10 years. If the Project has been previously submitted in response to question # 5 indicate same after Project Name entry.

If none, state "NONE" (Do not leave blank).

7. PAST PROJECTS FOR THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Complete and submit the following *Past and Present Projects for The Regents of the University of California Project Data Sheet* for each past project (regardless of project contracting method) between your firm and The Regents of the University of California for the last 10 years. If the Project has been previously submitted in response to question # 5 indicate same after Project Name entry.

If none, state "NONE" (Do not leave blank).

COMPLETE AND SUBMIT THE FOLLOWING PROJECT DATA SHEET FOR EACH COMPARABLE PROJECT SUBMITTED AS EVIDENCE OF YOUR FIRM'S EXPERIENCE. SUBMIT NOT MORE OR LESS THAN THE NUMBER PROJECT DATA SHEETS CORRESPONDING TO THE REQUIRED NUMBER OF COMPARABLE PROJECTS LISTED ABOVE.

PROJECT DATA SHEET

(A separate sheet must be prepared for each project submitted.)

1 Project Name			_
2 Project Location			<u> </u>
3 Type of Facility			_
4 Project Description			_
			_
			_
5 Required Challenges			
	New office building constructi	on	
	Minimum 4-stories		
	Minimum 50k gsf		
6 Preferred Challenges			Add'l Pts
	New office building with mixe	d-used 1st floor	5
	High density office building, m	ax 150sqft/occupant	5
	Fast Track Design with multipl	e Bid Packages	5
	Utilitization of Design Assist N	IEP Subcontractors	5
	Project Team same as Propose	ed Dwntwn Cntr Team	5
	Construction in downtown cit	y area	3
	Interior Exterior Skin Shop Dra	nwings	2
(Provide substantiation a	locumentation for all selected prefe	-	
7 Delivery Method			
	CM/Contractor (CM at Risk)		
	Design/Build		5
8 Cost at Bid	\$		_
9 Cost at Completion	\$		<u> </u>
10 Sources and/or causes of the ab	ove difference with reference to the	e following categories	
	Document Problems	\$	
	Unforseen Conditions	\$	<u> </u>

	Regulator	ry Agency	\$
	Other		\$
	Explain O	ther	
11 Project Start (mm/yyyy)	/		
12 Project Complete (mm/yyyy)	/_		
13 Original Contract Time		_Calendar Days	
Formally Adjusted Contract			
Time Actual elapsed time between		_Calendar Days	
issues of Notice to Proceed and			
date of final completion		Calendar Days	
 14 Were either Liquidated Damage 15 Did the project require constructi 16 Name of Project Manager 	Yes No		
-			
Proposed for Dwntwn Cntr?	Yes		
L 17 Name of Project Superintendent	No		
Proposed for Dwntwn Cntr?	Yes No		
18 Name of Project Engineer			
Proposed for Dwntwn Cntr?	Yes No		

Downtown Center Project No.: 900320 University of California, Merced Merced, California 19 Name of Preconstruction Manger Proposed for Dwntwn Cntr? Yes No 20 Did you self-perform any of the work? Yes No 21 If Yes, specify the selfperformed trades 22 If the entity submitting this prequalification questionnaire is a Joint Venture, is the Joint Venture entity itself performing this project? Yes No 23 Client Firm Name 24 Client Contact 25 Client Address 27 Client Phone 28 Client Email Address 29 Architect/Engineer Consultants 30 Architect/Engineer Contact

(Attach additional pages with other pertinent project information as necessary)

Phone

Name

Address

31 Architect/Engineer Email

PAST and PRESENT PROJECTS FOR THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

PROJECT DATA SHEET

(A separate sheet must be prepared for each project submitted.)

1.	Project Name:	
2.	Project Location (which campus):	
3.		
4.	Project Contracting Method (e.g., Lump Sum, D	
5.	Project Description:	
6.	Construction Type:	
7.	Size (gross sq. ft.):	
8.	How is this project comparable to the project to	be bid?
9.	Cost at Bid:	\$
10.	Cost at Completion:	\$
11.	Describe sources and/or causes of the above categories:	difference in costs with reference to the following
	Document Problems	\$
	Unforeseen Conditions:	\$
	Regulatory Agency:	\$
	Other:	\$
	Explain Other:	
12.	Original Contract Time:	
	Formally adjusted Contract Time (calendar days):	

	Actual Elapsed Time between issuance of Notice to Proceed and date of final completion (calendar days):		
13.	Were either liquidated damages or actual damages for delay assessed on this project?	Yes	No
14.	If the entity submitting this prequalification que entity itself performing this project?	stionnaire is a Joint V	
	Yes		No
15.	Did The Regents assess any back-charges?	Yes	No
16.	Did The Regents assess any liquidated damages?	Yes	No
17.	Were any claims filed on the project?	Yes	No
18.	If claims were filled on the project, please provide the following details for each claim:	Yes	No
	Dollar Amount of Initial Claim:	\$	
	Source of Claim (e.g., subcontractor, etc.):		
	Method of Resolution (e.g., negotiation, mediation, arbitration, litigation, etc.):		
19.	UC Contact:	Title	:
20.	UC Client Phone:		
21.	UC Client E-mail Address:		
22.	Architect/Engineer/Consultants:		
23.	Architect/Engineer Contact Name:		Phone:
24.	Architect/Engineer E-mail Address: (Attached additional pages with other	r pertinent information as	necessary.)

8. STAFF EXPERIENCE

The Project Manager, and Project Superintendent listed will be considered qualified only if he/she has successfully completed at least two (2) comparable projects, as defined in Section 5.A. The Pre-Construction Manager and Project Engineer listed will be considered qualified only if he/she has successfully completed at least one (1) of the comparable projects per Section 5.A. Additional points will be awarded for the Pre-Construction Manager being the same person as the Project Manager. Your firm will also be required to certify that the following key personnel are committed to the project at the time of Level 2 Prequalification.

A. FULL-TIME PROJECT MANAGER:

(Note: This individual will be required to attend the Level 2 interview)

1.	The name of the specific Project Manager to be committed to this project on a full-time basis and continuously retained throughout this project is:
	(Attach resume)
2.	Employed by this firm:years
3.	Present position/job function within firm:
4.	Years in present position/job function:years (minimum: 7 years in position)
5.	The Project Manager named above was assigned to the following comparable projects:
	Project: Construction Cost:
	<u>a.</u>
	<u>b.</u>
	<u>C.</u>
6.	The Project Manager named above worked on the following projects for which Project Data Sheets are submitted: (NOTE: IF THE ABOVE DESIGNATED INDIVIDUAL DID NOT WORK IN THIS CAPACITY ON AT LEAST TWO COMPARABLE PROJECTS FOR WHICH PROJECT DATA SHEETS WERE SUBMITTED FOR SECTION 5.A, PROVIDE A PROJECT DATA SHEET FOR TWO OF THE PROJECTS LISTED IN RESPONSE TO A.5 ABOVE.)
	<u>a.</u>
	<u>b.</u>
	<u>C.</u>

Will also act as Pre-Construction Manager (10pts if Yes): YES /

NO

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7.

B. FULL-TIME PROJECT SUPERINTENDENT:

(Note: This individual will be required to attend the Level 2 interview)

(A	Attach resume)	
En	mployed by this firm:	years
Pr	resent position/job function within firm:	
Υe	ears in present position/job function:	years (minimum: 10 years in position)
	ne Project Superintendent named above ojects:	was assigned to the following comparable
<u>Pr</u>	oject:	Construction Cost:
<u>a.</u>		
<u>b.</u>		
C.		
Pr DI FC A	oject Data Sheets are submitted: (NOTE: ID NOT WORK IN THIS CAPACITY ON A DR WHICH PROJECT DATA SHEETS WEF	worked on the following projects for which IF THE ABOVE DESIGNATED INDIVIDUAL T LEAST TWO COMPARABLE PROJECTS RE SUBMITTED IN SECTION 5.A, PROVIDE HE PROJECTS LISTED IN RESPONSE TO
<u>a.</u>		

C. FULL-TIME PRE-CONSTRUCTION MANAGER: (Note: This individual will be required to attend the Level 2 interview)

If the same person as the Project Manager, required experience is that of the Project Manager per Section 8.A.

1.	Same as Project Manager: YES / NO If YES, parts 1-6 below are NOT required to be filled out.		
2.	The name of the specific Pre-Construction Manager to be committed to this project on full-time basis and continuously retained throughout this project is:		
	(Attach resume)		
3.	Employed by this firm:	years	
4.	Present position/job function within firm:		
5.	Years in present position/job function:	years (minimum: 5 years in position)	
6.	The Pre-Construction Manager named a projects:	bove was assigned to the following comparable	
	Project:	Construction Cost:	
	<u>a.</u>		
	<u>b.</u>		
	<u>C.</u>		
7.	Project Data Sheets are submitted: (NO DID NOT WORK IN THIS CAPACITY O	bove worked on the following projects for which TE: IF THE ABOVE DESIGNATED INDIVIDUAL N THE PROJECT LISTED FOR SECTION 5.A DR THE PROJECTS LISTED IN REPONSE TO	
	<u>a.</u>		
	<u>b.</u>		

FULL-TIME PROJECT ENGINEER:

D.

(Note: It is optional for this individual to attend the Level 2 interview) The name of the specific Project Engineer to be committed continuously to this project: (Attach resume(s)) 2. Employed by this firm: ____years 3. Present position/job function within firm: 4. Years in present position/job function: _____years (minimum: 3 years in position) 5. The Project Engineer named above were assigned to the following comparable projects: Project: Construction Cost: The Project Engineer named above worked on the following projects for which Project Data 6. Sheets are submitted: (NOTE: IF THE ABOVE DESIGNATED INDIVIDUAL DID NOT WORK IN THIS CAPACITY ON THE PROJECT LISTED FOR SECTION 5.A, PROVIDE PROJECT DATA SHEETS FOR THE PROJECTS LISTED IN REPONSE TO D.5 ABOVE)

9.

SAFETY PROGRAM A. Does your firm have a written Injury and Illness Prevention Program (IIPP) that complies with California Code of Regulations, Title 8, Sections 1509 and 3203? YES NO \square В. Does your firm have a written safety program that meets CAL/OSHA requirements? YES □ NO \square C. Will your firm have personnel permanently assigned and dedicated to Safety on this project? YES | NO \square D. If "Yes", state the names of all such personnel who will be assigned and individually list their specific duties: Name, Title **Specific Duties** Attach resumes (include certification and safety related training received.) E. Have you had accidents, which resulted in a construction fatality, on any of your projects within the last five (5) years? NO \square YES 🗌 If yes, attach additional information. E. Have you had Cal-OSHA fines in the Serious, Repeat or Willful categories? YES NO \square If yes, attach additional information. G. Has your firm maintained a Workers' Compensation Experience Modification Rate (EMR) that averages below 1.15 for the past five years? YES 🗌 NO | EMR: _____ Attach substantiating documentation.

10.	QUALITY CONTROL/QUALITY ASSURANCE PROGRAM (QC/QA)							
	A.	Does your firm have a written quality control/quality assurance program?						
		YES 🗌	NO 🗌					
	If YES, submit a copy of your QC/QA program with this submission.							
	B.	Will your firm have personnel permanently assigned and dedicated to QC/QA on this project?						
		YES 🗌	NO 🗌					
		If "Yes", state the names of all such personnel who will be assigned and individually list their specific duties:						
		Name, Title		Specific Duties				
11.	. BUSINESS CONSTRUCTION REVENUE							
	For the purposes of this Level 1 prequalification questionnaire, "business construction revenue" shall be defined as payments to prospective CM/Contractor for pre-construction services, construction management, and construction services.							
	Α.	Can you truthfully state that	t your firm has had annual b (excluding any and all leg	ousiness construction revenue of at leas al awards) for each and every one of the				
		YES 🗌	NO 🗌					
	B. If the entity submitting this prequalification questionnaire is a Joint Venture, can to entity truthfully state that its members have had a combined annual business construction of at least \$100 million (excluding any and all legal awards) for each and every of (5) consecutive calendar years?							
		YES 🗌	NO 🗌	N/A 🗌				

Level 1 Prequalification Questionnaire Revision 1

12. DISCIPLINARY MEASURES HISTORY

	A.	Can you truthfully state that your firm has not been disqualified or barred from doing busine with a public agency (e.g., federal, state, county, city, University of California System, Californ State University System, etc.) within the last 10 years?					
			YES 🗌	NO 🗌			
			If NO, please expl	ain:			
		1.	If the entity submitting this prequalification questionnaire is a Joint Venture, can the Joint Venture entity truthfully state that no members of the Joint Venture have been disqualified or barred from doing business with a public agency (e.g., federal, state, county, city, University of California System, California State University System, etc.) within the last 10 years?				
			YES 🗌	NO 🗌	N/A 🗌		
			If NO, please expl	ain:			
13.	FALSE CLAIMS HISTORY						
	A.	su	Can you truthfully state that your firm has not been found in a final decision of a court to have submitted a false claim to a public agency (e.g., federal, state, county, city, University of California System, California State University System, etc.) within the last 10 years?				
			YES 🗌	NO []		
			the Joint \ been foun public age	/enture entity truthfull nd in a final decision ency (e.g., federal, sta	ualification questionnaire is a y state that no member of the of a court to have submitted te, county, city, University of em, etc.) within the last 10 years.	Joint Venture has a false claim to a California System,	
			YES 🗌	NO [] N/A □		
			If NO, exp	lain:			

ATTENDANCE AT NON-MANDATORY LEVEL 1 PREQUALIFICATION CONFERENCE 14. Did a representative of your firm attend the Non-Mandatory Level 1 Prequalification Conference conducted on February 4, 2015 at 9:00AM 3:30PM^{Add01}, at Design+Construction offices? YES NO 🗌 Name(s) of those attending:

Addendum No. 1 / Addendum No. 2 / Addendum No. 3 July 1, 2002 Level 1 Prequalification Questionnaire CM/Contractor: LOPQ